

# Investment Company Report

UNICREDIT S.P.A.

Security	T9T23L584	Meeting Type	MIX
Ticker Symbol		Meeting Date	04-Dec-2017
ISIN	IT0005239360	Agenda	708792040 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 851928 DUE TO RECEIPT OF- ADDITIONAL RESOLUTION O.1A3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL-BE DISREGARDED IF VOTE DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE-REINSTRUCT ON THIS MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE-EXTENSIONS ARE NOT GRANTED IN THE MARKET, THIS MEETING WILL BE CLOSED AND-YOUR VOTE INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE-ENSURE VOTING IS SUBMITTED PRIOR TO CUTOFF ON THE ORIGINAL MEETING, AND AS-SOON AS POSSIBLE ON THIS NEW AMENDED MEETING. THANK YOU	Non-Voting		
CMMT	PLEASE NOTE THAT ITEM 2 OF THE AGENDA, IF APPROVED, FORESEES THE WITHDRAWAL-RIGHT FOR SHAREHOLDERS ABSENT, ABSTAINING OR VOTING AGAINST	Non-Voting		
CMMT	PLEASE NOTE THAT BOARD DOES NOT MAKE ANY RECOMMENDATION ON RES. O.1A1 TO-O.1B2. THANK YOU.	Non-Voting		
O.1A1	TO INTEGRATE THE INTERNAL AUDITORS. TO REPLACE AN EFFECTIVE AUDITOR. AS A REPLACEMENT FOR MRS MARIA ENRICA SPINARDI, THE CANDIDATE PRESENTED BY ALLIANZ FINANCE II LUXEMBOURG S.A.R.L: MR GUIDO PAOLUCCI	Management	Abstain	Against
O.1A2	TO INTEGRATE THE INTERNAL AUDITOR: IN PLACE OF MS. SPINARDI - VOTE FOR THE CANDIDACY OF MS ANTONELLA BIENTINESI PROPOSED BY SHAREHOLDERS ALETTI GESTIELLE SGR, ANIMA SGR, ANTHILIA CAPITAL PARTNERS SGR, ARCA FONDI SGR, EURIZON CAPITAL SGR, EURIZON CAPITAL SA, FIDEURAM ASSET MANAGEMENT (IRELAND), FIDEURAM INVESTIMENTI SGR, INTERFUND SICAV, GENERALI INVESTMENTS EUROPE SGR, GENERALI INVESTMENTS LUXEMBOURG SA, KAIROS PARTNERS SGR, MEDIOLANUM GESTIONE FONDI SGR, MEDIOLANUM INTERNATIONAL FUNDS, UBI PRAMERICA SGR E UBI SICAV	Management	For	For

## Investment Company Report

O.1A3	PLEASE NOTE THAT THIS IS A SHAREHOLDER PROPOSAL: TO INTEGRATE THE INTERNAL AUDITORS. TO REPLACE AN EFFECTIVE AUDITOR. AS A REPLACEMENT FOR MRS MARIA ENRICA SPINARDI, THE SHAREHOLDER PIERLUIGI CAROLLO SUBMITTED HIS APPLICATION	Management	Abstain	Against
O.1B1	TO INTEGRATE THE INTERNAL AUDITORS. TO REPLACE AN ALTERNATE AUDITOR. AS A REPLACEMENT FOR MRS ANTONELLA BIENTINESI, THE CANDIDATE PRESENTED BY ALLIANZ FINANCE II LUXEMBOURG S.A.R.L: MRS RAFFAELLA PAGANI	Management	Abstain	Against
O.1B2	TO INTEGRATE THE INTERNAL AUDITOR: IN PLACE OF MS. BIENTINESI - VOTE FOR THE CANDIDACY OF MS. MYRIAM AMATO PROPOSED BY SHAREHOLDERS ALETTI GESTIELLE SGR, ANIMA SGR, ANTHILIA CAPITAL PARTNERS SGR, ARCA FONDI SGR, EURIZON CAPITAL SGR, EURIZON CAPITAL SA, FIDEURAM ASSET MANAGEMENT (IRELAND), FIDEURAM INVESTIMENTI SGR, INTERFUND SICAV, GENERALI INVESTMENTS EUROPE SGR, GENERALI INVESTMENTS LUXEMBOURG SA, KAIROS PARTNERS SGR, MEDIOLANUM GESTIONE FONDI SGR, MEDIOLANUM INTERNATIONAL FUNDS, UBI PRAMERICA SGR E UBI SICAV	Management	For	For
E.1	TO EMPOWER THE BOARD OF DIRECTORS TO PRESENT A SLATE OF CANDIDATES TO APPOINT DIRECTORS AND TO INCREASE THE NUMBER OF DIRECTORS APPOINTED FROM THE MINORITY LIST. TO AMEND ART. 20 (NUMBER OF DIRECTORS) AND 24 (MAJORITY OF THE BOARD OF DIRECTORS) OF THE BYLAW. RESOLUTIONS RELATED THERETO	Management	For	For
E.2	TO ELIMINATE THE LIMIT OF 5 PCT. TO THE EXERCISE OF THE VOTING RIGHT. TO AMEND ART. 5 (STOCK CAPITAL), 15 (VOTING RIGHT) AND 17 (VALIDITY OF THE SHAREHOLDERS' MEETING) OF THE BYLAW. RESOLUTIONS RELATED THERETO	Management	For	For
E.3	MANDATORY CONVERSION OF THE SAVING SHARES INTO ORDINARY SHARES. TO AMEND ART. 5 (STOCK CAPITAL), 7 (SAVING SHARES) AND 32 (NET INCOME ALLOCATION) OF THE BYLAW. RESOLUTIONS RELATED THERETO	Management	For	For
E.4	TO TRANSFER THE REGISTERED OFFICE FROM ROME TO MILAN. TO AMEND ART. 2 (REGISTERED OFFICE). RESOLUTIONS RELATED THERETO	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	8,000	0	27-Nov-2017	28-Nov-2017

# Investment Company Report

## NORDEA BANK AB (PUBL)

Security	W57996105	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	15-Mar-2018
ISIN	SE0000427361	Agenda	708963233 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	AN ABSTAIN VOTE CAN HAVE THE SAME EFFECT AS AN AGAINST VOTE IF THE MEETING-REQUIRE APPROVAL FROM MAJORITY OF PARTICIPANTS TO PASS A RESOLUTION.	Non-Voting		
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting		
1	ELECTION OF A CHAIRMAN FOR THE GENERAL MEETING: EVA HAGG, MEMBER OF THE-SWEDISH BAR ASSOCIATION	Non-Voting		
2	PREPARATION AND APPROVAL OF THE VOTING LIST	Non-Voting		
3	APPROVAL OF THE AGENDA	Non-Voting		
4	ELECTION OF AT LEAST ONE MINUTES CHECKER	Non-Voting		
5	DETERMINATION WHETHER THE GENERAL MEETING HAS BEEN DULY CONVENED	Non-Voting		
6	SUBMISSION OF THE ANNUAL REPORT AND CONSOLIDATED ACCOUNTS, AND OF THE AUDIT- REPORT AND THE GROUP AUDIT REPORT IN CONNECTION HEREWITH: SPEECH BY THE GROUP- CEO	Non-Voting		
7	ADOPTION OF THE INCOME STATEMENT AND THE CONSOLIDATED INCOME STATEMENT, AND THE BALANCE SHEET AND THE CONSOLIDATED BALANCE SHEET	Management		
8	DECISION ON DISPOSITIONS OF THE COMPANY'S PROFIT ACCORDING TO THE ADOPTED BALANCE SHEET: DIVIDEND OF 0.68 EURO PER SHARE	Management		

## Investment Company Report

9	DECISION REGARDING DISCHARGE FROM LIABILITY FOR THE MEMBERS OF THE BOARD OF DIRECTORS AND THE CEO (THE AUDITOR RECOMMENDS DISCHARGE FROM LIABILITY)	Management
10	DETERMINATION OF THE NUMBER OF BOARD MEMBERS: TEN	Management
11	DETERMINATION OF THE NUMBER OF AUDITORS: ONE	Management
12	DETERMINATION OF FEES FOR BOARD MEMBERS AND AUDITORS	Management
13	ELECTION OF BOARD MEMBERS AND CHAIRMAN OF THE BOARD: FOR THE PERIOD UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING BJORN WAHLROOS, PERNILLE ERENBJERG, ROBIN LAWTHOR, LARS G NORDSTROM, SARAH RUSSELL, SILVIJA SERES, BIRGER STEEN AND MARIA VARSELLONA SHALL BE RE-ELECTED AS BOARD MEMBERS AND NIGEL HINSHELWOOD AND TORBJORN MAGNUSSON SHALL BE ELECTED AS BOARD MEMBERS. FOR THE PERIOD UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING BJORN WAHLROOS SHALL BE RE-ELECTED AS CHAIRMAN	Management
14	ELECTION OF AUDITORS: OHRLINGS PRICEWATERHOUSECOOPERS AB	Management
15	RESOLUTION ON ESTABLISHMENT OF A NOMINATION COMMITTEE	Management
16	RESOLUTION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON ISSUE OF CONVERTIBLE INSTRUMENTS IN THE COMPANY	Management
17	RESOLUTION ON PURCHASE OF OWN SHARES ACCORDING TO CHAPTER 7 SECTION 6 OF THE SWEDISH SECURITIES MARKET ACT (SW. LAGEN (2007:528) OM VARDEPAPPERSMARKNADEN)	Management
18	RESOLUTION ON GUIDELINES FOR REMUNERATION FOR EXECUTIVE OFFICERS	Management
19	APPROVAL OF THE MERGER PLAN BETWEEN THE COMPANY AND NORDEA HOLDING ABP	Management
20.A	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTION ON THE FOLLOWING MATTER INITIATED BY THE SHAREHOLDER CARL AXEL BRUNO PROPOSE THAT THE ANNUAL GENERAL MEETING INSTRUCTS THE BOARD OF DIRECTORS OF NORDEA BANK AB TO INTRODUCE BETTER CONTROL OF THAT THE BANK AND THE EMPLOYEES OF THE BANK REALLY FOLLOWS NORDEA'S CODE OF CONDUCT	Shareholder

# Investment Company Report

20.B PLEASE NOTE THAT THIS RESOLUTION IS A Shareholder  
SHAREHOLDER PROPOSAL: RESOLUTION ON THE  
FOLLOWING MATTER INITIATED BY THE  
SHAREHOLDER CARL AXEL BRUNO PROPOSE THAT  
THE ANNUAL GENERAL MEETING DECIDES THAT  
NORDEA'S CENTRAL SECURITY ORGANIZATION IS  
INSTRUCTED TO HANDLE THE CONTROL OF THE  
BANK'S LOCAL SECURITY

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	8,000	0		

# Investment Company Report

## FIFTH THIRD BANCORP

Security	316773100	Meeting Type	Annual
Ticker Symbol	FITB	Meeting Date	17-Apr-2018
ISIN	US3167731005	Agenda	934735448 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Nicholas K. Akins	Management	For	For
1B.	Election of Director: B. Evan Bayh III	Management	For	For
1C.	Election of Director: Jorge L. Benitez	Management	For	For
1D.	Election of Director: Katherine B. Blackburn	Management	For	For
1E.	Election of Director: Emerson L. Brumback	Management	For	For
1F.	Election of Director: Jerry W. Burris	Management	For	For
1G.	Election of Director: Greg D. Carmichael	Management	For	For
1H.	Election of Director: Gary R. Heminger	Management	For	For
1I.	Election of Director: Jewell D. Hoover	Management	For	For
1J.	Election of Director: Eileen A. Mallesch	Management	For	For
1K.	Election of Director: Michael B. McCallister	Management	For	For
1L.	Election of Director: Marsha C. Williams	Management	For	For
2.	Approval of the appointment of deloitte & touche llp to serve as the independent external audit firm for company for 2018.	Management	For	For
3.	An advisory approval of the Company's executive compensation.	Management	For	For
4.	Advisory vote to determine whether the vote on the Compensation of the Company's executives will occur every 1, 2 or 3 years.	Management	1 Year	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,000	0	07-Mar-2018	18-Apr-2018

# Investment Company Report

## HSBC HOLDINGS PLC

Security	404280406	Meeting Type	Annual
Ticker Symbol	HSBC	Meeting Date	20-Apr-2018
ISIN	US4042804066	Agenda	934751226 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the Annual Report & Accounts 2017	Management	For	For
2.	To approve the Directors' Remuneration Report	Management	For	For
3a.	To elect Mark Tucker as a Director	Management	For	For
3b.	To elect John Flint as a Director	Management	For	For
3c.	To re-elect Kathleen Casey as a Director	Management	For	For
3d.	To re-elect Laura Cha as a Director	Management	For	For
3e.	To re-elect Henri de Castries as a Director	Management	For	For
3f.	To re-elect Lord Evans of Weardale as a Director	Management	For	For
3g.	To re-elect Irene Lee as a Director	Management	For	For
3h.	To re-elect Iain Mackay as a Director	Management	For	For
3i.	To re-elect Heidi Miller as a Director	Management	For	For
3j.	To re-elect Marc Moses as a Director	Management	For	For
3k.	To re-elect David Nish as a Director	Management	For	For
3l.	To re-elect Jonathan Symonds as a Director	Management	For	For
3m.	To re-elect Jackson Tai as a Director	Management	For	For
3n.	To re-elect Pauline van der Meer Mohr as a Director	Management	For	For
4.	To re-appoint PricewaterhouseCoopers LLP as Auditor of the Company	Management	For	For
5.	To authorise the Group Audit Committee to determine the remuneration of the Auditor	Management	For	For
6.	To authorise the Company to make political donations	Management	For	For
7.	To authorise the Directors to allot shares	Management	For	For
8.	To disapply pre-emption rights (special resolution)	Management	For	For
9.	To further disapply pre-emption rights for acquisitions (special resolution)	Management	For	For
10.	To authorise the Directors to allot any repurchased shares	Management	For	For
11.	To authorise the Company to purchase its own ordinary shares (special resolution)	Management	For	For
12.	To authorise the Directors to allot equity securities in relation to Contingent Convertible Securities	Management	For	For
13.	To disapply pre-emption rights in relation to the issue of Contingent Convertible Securities (special resolution)	Management	For	For

## Investment Company Report

14.	To authorise the Directors to offer a scrip dividend alternative	Management	For	For
15.	To approve amendments to the Articles of Association (special resolution)	Management	For	For
16.	To approve general meetings (other than annual general meetings) being called on 14 clear days' notice (special resolution)	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	100	0	22-Mar-2018	13-Apr-2018

# Investment Company Report

## ING GROEP N.V.

Security	456837103	Meeting Type	Annual
Ticker Symbol	ING	Meeting Date	23-Apr-2018
ISIN	US4568371037	Agenda	934761594 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
2e.	Annual Accounts for 2017	Management	For	For
3b.	Dividend for 2017	Management	For	For
5a.	Discharge of the members and former members of the Executive Board in respect of their duties performed during the year 2017	Management	For	For
5b.	Discharge of the members and former members of the Supervisory Board in respect of their duties performed during the year 2017	Management	For	For
7.	Composition of the Supervisory Board: Reappointment of Eric Boyer de la Giroday	Management	For	For
8a.	Authorization to issue ordinary shares	Management	For	For
8b.	Authorization to issue ordinary shares, with or without pre-emptive rights of existing shareholders	Management	For	For
9.	Authorization of the Executive Board to acquire ordinary shares in the Company's capital	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	12,000	0	03-Apr-2018	16-Apr-2018

## Investment Company Report

### CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	24-Apr-2018
ISIN	US1729674242	Agenda	934740401 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Michael L. Corbat	Management	For	For
1b.	Election of Director: Ellen M. Costello	Management	For	For
1c.	Election of Director: John C. Dugan	Management	For	For
1d.	Election of Director: Duncan P. Hennes	Management	For	For
1e.	Election of Director: Peter B. Henry	Management	For	For
1f.	Election of Director: Franz B. Humer	Management	For	For
1g.	Election of Director: S. Leslie Ireland	Management	For	For
1h.	Election of Director: Renee J. James	Management	For	For
1i.	Election of Director: Eugene M. McQuade	Management	For	For
1j.	Election of Director: Michael E. O'Neill	Management	For	For
1k.	Election of Director: Gary M. Reiner	Management	For	For
1l.	Election of Director: Anthony M. Santomero	Management	For	For
1m.	Election of Director: Diana L. Taylor	Management	For	For
1n.	Election of Director: James S. Turley	Management	For	For
1o.	Election of Director: Deborah C. Wright	Management	For	For
1p.	Election of Director: Ernesto Zedillo Ponce de Leon	Management	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2018.	Management	For	For
3.	Advisory vote to approve Citi's 2017 executive compensation.	Management	For	For
4.	Approval of an amendment to the Citigroup 2014 Stock Incentive Plan authorizing additional shares.	Management	For	For
5.	Stockholder proposal requesting a Human and Indigenous Peoples' Rights Policy.	Shareholder	Against	For
6.	Stockholder proposal requesting that our Board take the steps necessary to adopt cumulative voting.	Shareholder	Against	For
7.	Stockholder proposal requesting a report on lobbying and grassroots lobbying contributions.	Shareholder	Against	For
8.	Stockholder proposal requesting an amendment to Citi's proxy access bylaw provisions pertaining to the aggregation limit and the number of candidates.	Shareholder	Against	For
9.	Stockholder proposal requesting that the Board adopt a policy prohibiting the vesting of equity-based awards for senior executives due to a voluntary resignation to enter government service.	Shareholder	Against	For

## Investment Company Report

10. Stockholder proposal requesting that the Board amend Citi's bylaws to give holders in the aggregate of 15% of Citi's outstanding common stock the power to call a special meeting.
- Shareholder      Against      For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	1,700	0	15-Mar-2018	25-Apr-2018
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	9,300	0	15-Mar-2018	25-Apr-2018

# Investment Company Report

## DNB ASA

Security	R1640U124	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	24-Apr-2018
ISIN	NO0010031479	Agenda	709100387 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	SHARES HELD IN AN OMNIBUS/NOMINEE ACCOUNT NEED TO BE RE-REGISTERED IN THE-BENEFICIAL OWNERS NAME TO BE ALLOWED TO VOTE AT MEETINGS. SHARES WILL BE-TEMPORARILY TRANSFERRED TO A SEPARATE ACCOUNT IN THE BENEFICIAL OWNER'S NAME-ON THE PROXY DEADLINE AND TRANSFERRED BACK TO THE OMNIBUS/NOMINEE ACCOUNT THE-DAY AFTER THE MEETING.	Non-Voting		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting		
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
1	OPENING OF THE GENERAL MEETING AND SELECTION OF A PERSON TO CHAIR THE MEETING- BY THE CHAIRMAN OF THE BOARD OF DIRECTORS	Non-Voting		
2	APPROVAL OF THE NOTICE OF THE GENERAL MEETING AND THE AGENDA	Management		
3	ELECTION OF A PERSON TO SIGN THE MINUTES OF THE GENERAL MEETING ALONG WITH THE CHAIRMAN	Management		
4	APPROVAL OF THE 2017 ANNUAL REPORT AND ACCOUNTS, INCLUDING THE DISTRIBUTION OF DIVIDENDS (THE BOARD OF DIRECTORS HAS PROPOSED A DIVIDED OF NOK 7.10 PER SHARE)	Management		
5.A	STATEMENT FROM THE BOARD OF DIRECTORS IN CONNECTION WITH REMUNERATION TO SENIOR EXECUTIVES: SUGGESTED GUIDELINES (CONSULTATIVE VOTE)	Management		

## Investment Company Report

5.B	STATEMENT FROM THE BOARD OF DIRECTORS IN CONNECTION WITH REMUNERATION TO SENIOR EXECUTIVES: BINDING GUIDELINES (PRESENTED FOR APPROVAL)	Management
6	CORPORATE GOVERNANCE IN DNB	Management
7	APPROVAL OF THE AUDITOR'S REMUNERATION	Management
8	REDUCTION IN CAPITAL THROUGH THE CANCELLATION OF OWN SHARES AND THE REDEMPTION OF SHARES BELONGING TO THE NORWEGIAN GOVERNMENT	Management
9	AUTHORISATION TO THE BOARD OF DIRECTORS FOR THE REPURCHASE OF SHARES	Management
10	ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS IN LINE WITH THE RECOMMENDATION GIVEN: THE GENERAL MEETING ELECTED OLAUG SVARVA AS A NEW BOARD MEMBER AND RE-ELECTED TORE OLAF RIMMEREID, JAAN IVAR SEMLITSCH AND BERIT SVENDSEN AS BOARD MEMBERS IN DNB ASA, WITH A TERM OF OFFICE OF UP TO TWO YEARS IN ADDITION, THE GENERAL MEETING ELECTED OLAUG SVARVA AS NEW BOARD CHAIRMAN AND RE-ELECTED TORE OLAF RIMMEREID AS VICECHAIRMAN WITH A TERM OF OFFICE OF UP TO TWO YEARS	Management
11	ELECTION OF MEMBERS OF THE ELECTION COMMITTEE IN LINE WITH THE RECOMMENDATION GIVEN: THE GENERAL MEETING ELECTED CAMILLA GRIEG AS NEW CHAIRMAN AND INGEBRET G. HISDAL AS A NEW MEMBER AND RE-ELECTED KARL MOURSUND AND METTE I. WIKBORG AS MEMBERS OF THE ELECTION COMMITTEE, WITH A TERM OF OFFICE OF UP TO TWO YEARS AFTER THE ELECTION, THE ELECTION COMMITTEE OF DNB ASA WILL HAVE THE FOLLOWING MEMBERS	Management
12	APPROVAL OF REMUNERATION RATES FOR MEMBERS OF THE BOARD OF DIRECTORS AND THE ELECTION COMMITTEE IN LINE WITH THE RECOMMENDATION GIVEN	Management

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	8,500	0		

# Investment Company Report

## WELLS FARGO & COMPANY

Security	949746101	Meeting Type	Annual
Ticker Symbol	WFC	Meeting Date	24-Apr-2018
ISIN	US9497461015	Agenda	934740350 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: John D. Baker II	Management		
1b.	Election of Director: Celeste A. Clark	Management		
1c.	Election of Director: Theodore F. Craver, Jr.	Management		
1d.	Election of Director: Elizabeth A. Duke	Management		
1e.	Election of Director: Donald M. James	Management		
1f.	Election of Director: Maria R. Morris	Management		
1g.	Election of Director: Karen B. Peetz	Management		
1h.	Election of Director: Juan A. Pujadas	Management		
1i.	Election of Director: James H. Quigley	Management		
1j.	Election of Director: Ronald L. Sargent	Management		
1k.	Election of Director: Timothy J. Sloan	Management		
1l.	Election of Director: Suzanne M. Vautrinot	Management		
2.	Advisory resolution to approve executive compensation.	Management		
3.	Ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for 2018.	Management		
4.	Shareholder Proposal - Special Shareowner Meetings.	Shareholder		
5.	Shareholder Proposal - Reform Executive Compensation Policy with Social Responsibility.	Shareholder		
6.	Shareholder Proposal - Report on Incentive Compensation and Risks of Material Losses.	Shareholder		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	100	0		

# Investment Company Report

## BANK OF AMERICA CORPORATION

Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	25-Apr-2018
ISIN	US0605051046	Agenda	934737163 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Sharon L. Allen	Management	For	For
1B.	Election of Director: Susan S. Bies	Management	For	For
1C.	Election of Director: Jack O. Bovender, Jr.	Management	For	For
1D.	Election of Director: Frank P. Bramble, Sr.	Management	For	For
1E.	Election of Director: Pierre J. P. de Weck	Management	For	For
1F.	Election of Director: Arnold W. Donald	Management	For	For
1G.	Election of Director: Linda P. Hudson	Management	For	For
1H.	Election of Director: Monica C. Lozano	Management	For	For
1I.	Election of Director: Thomas J. May	Management	For	For
1J.	Election of Director: Brian T. Moynihan	Management	For	For
1K.	Election of Director: Lionel L. Nowell, III	Management	For	For
1L.	Election of Director: Michael D. White	Management	For	For
1M.	Election of Director: Thomas D. Woods	Management	For	For
1N.	Election of Director: R. David Yost	Management	For	For
1O.	Election of Director: Maria T. Zuber	Management	For	For
2.	Approving Our Executive Compensation (an Advisory, Non-binding "Say on Pay" Resolution)	Management	For	For
3.	Ratifying the Appointment of Our Independent Registered Public Accounting Firm for 2018	Management	For	For
4.	Stockholder Proposal - Independent Board Chairman	Shareholder	For	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	4,000	0	13-Mar-2018	26-Apr-2018
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	16,700	0	13-Mar-2018	26-Apr-2018

## Investment Company Report

### BARCLAYS PLC

Security	G08036124	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	01-May-2018
ISIN	GB0031348658	Agenda	709089521 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE REPORTS OF THE DIRECTORS AND AUDITORS AND THE AUDITED ACCOUNTS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2017	Management	For	For
2	TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2017	Management	For	For
3	TO APPOINT MATTHEW LESTER AS A DIRECTOR OF THE COMPANY	Management	For	For
4	TO APPOINT MIKE TURNER AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO REAPPOINT MIKE ASHLEY AS A DIRECTOR OF THE COMPANY	Management	Against	Against
6	TO REAPPOINT TIM BREEDON AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO REAPPOINT SIR IAN CHESHIRE AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO REAPPOINT MARY FRANCIS AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO REAPPOINT CRAWFORD GILLIES AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO REAPPOINT SIR GERRY GRIMSTONE AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO REAPPOINT REUBEN JEFFERY III AS A DIRECTOR OF THE COMPANY	Management	For	For
12	TO REAPPOINT JOHN MCFARLANE AS A DIRECTOR OF THE COMPANY	Management	For	For
13	TO REAPPOINT TUSHAR MORZARIA AS A DIRECTOR OF THE COMPANY	Management	For	For
14	TO REAPPOINT DAMBISA MOYO AS A DIRECTOR OF THE COMPANY	Management	For	For
15	TO REAPPOINT DIANE SCHUENEMAN AS A DIRECTOR OF THE COMPANY	Management	For	For
16	TO REAPPOINT JAMES STALEY AS A DIRECTOR OF THE COMPANY	Management	For	For
17	TO REAPPOINT KPMG LLP AS AUDITORS OF THE COMPANY	Management	For	For
18	TO AUTHORISE THE BOARD AUDIT COMMITTEE TO SET THE REMUNERATION OF THE AUDITORS	Management	For	For

## Investment Company Report

19	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS AND INCUR POLITICAL EXPENDITURE	Management	For	For
20	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES AND EQUITY SECURITIES	Management	For	For
21	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH AND OR TO SELL TREASURY SHARES OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS OF NO MORE THAN 5 PER CENT OF ISC	Management	For	For
22	ADDITIONAL 5 PER CENT OF ISSUED SHARE CAPITAL IN CONNECTION WITH AN ACQUISITION OR SPECIFIED CAPITAL INVESTMENT	Management	For	For
23	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES IN RELATION TO THE ISSUANCE OF CONTINGENT EQUITY CONVERSION NOTES	Management	For	For
24	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS IN RELATION TO THE ISSUANCE OF CONTINGENT ECNS	Management	For	For
25	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	Management	For	For
26	TO AUTHORISE THE DIRECTORS TO CALL GENERAL MEETINGS OTHER THAN AN AGM ON NOT LESS THAN 14 CLEAR DAYS NOTICE	Management	For	For
27	TO AUTHORISE RENEWAL OF THE SCRIP DIVIDEND PROGRAMME	Management	For	For
28	TO APPROVE THAT THE WHOLE AMOUNT STANDING TO THE CREDIT OF THE COMPANY'S SHARE PREMIUM ACCOUNT BE CANCELLED	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	60,000	0	22-Mar-2018	25-Apr-2018

## Investment Company Report

### BARCLAYS PLC

Security	06738E204	Meeting Type	Annual
Ticker Symbol	BCS	Meeting Date	01-May-2018
ISIN	US06738E2046	Agenda	934761493 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the Reports of the Directors and Auditors and the audited accounts of the Company for the year ended 31 December 2017.	Management	For	For
2.	To approve the Directors' Remuneration Report for the year ended 31 December 2017.	Management	For	For
3.	To appoint Matthew Lester as a Director of the Company.	Management	For	For
4.	To appoint Mike Turner as a Director of the Company.	Management	For	For
5.	To reappoint Mike Ashley as a Director of the Company.	Management	Against	Against
6.	To reappoint Tim Breedon as a Director of the Company.	Management	For	For
7.	To reappoint Sir Ian Cheshire as a Director of the Company.	Management	For	For
8.	To reappoint Mary Francis as a Director of the Company.	Management	For	For
9.	To reappoint Crawford Gillies as a Director of the Company.	Management	For	For
10.	To reappoint Sir Gerry Grimstone as a Director of the Company.	Management	For	For
11.	To reappoint Reuben Jeffery III as a Director of the Company.	Management	For	For
12.	To reappoint John McFarlane as a Director of the Company.	Management	For	For
13.	To reappoint Tushar Morzaria as a Director of the Company.	Management	For	For
14.	To reappoint Dambisa Moyo as a Director of the Company.	Management	For	For
15.	To reappoint Diane Schueneman as a Director of the Company.	Management	For	For
16.	To reappoint James Staley as a Director of the Company.	Management	For	For
17.	To reappoint KPMG LLP as auditors of the Company.	Management	For	For
18.	To authorise the Board Audit Committee to set the remuneration of the auditors.	Management	For	For
19.	To authorise the Company and its subsidiaries to make political donations and incur political expenditure.	Management	For	For
20.	To authorise the Directors to allot shares and equity securities.	Management	For	For
21.	To authorise the Directors to allot equity securities for cash and/or to sell treasury shares other than on a pro rata basis to shareholders of no more than 5% of issued share capital.	Management	For	For

## Investment Company Report

22.	To authorise the Directors to allot equity securities for cash and/or to sell treasury shares other than on a pro rata basis to shareholders of no more than an additional 5% of issued share capital in connection with an acquisition or specified capital investment.	Management	For	For
23.	To authorise the Directors to allot equity securities in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
24.	To authorise the Directors to allot equity securities for cash other than on a pro rata basis to shareholders in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
25.	To authorise the Company to purchase its own shares.	Management	For	For
26.	To authorise the Directors to call general meetings (other than an AGM) on not less than 14 clear days' notice.	Management	For	For
27.	To authorise renewal of the Scrip dividend programme.	Management	For	For
28.	To approve that the whole amount standing to the credit of the Company's share premium account be cancelled.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	11,000	0	29-Mar-2018	27-Apr-2018
B01P13F0500002C	B01P13F0500002 C	PGB - CIBC	BNY MELLON	39,000	0	29-Mar-2018	27-Apr-2018

# Investment Company Report

## THE GOLDMAN SACHS GROUP, INC.

Security	38141G104	Meeting Type	Annual
Ticker Symbol	GS	Meeting Date	02-May-2018
ISIN	US38141G1040	Agenda	934750084 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Lloyd C. Blankfein	Management	For	For
1b.	Election of Director: M. Michele Burns	Management	For	For
1c.	Election of Director: Mark A. Flaherty	Management	For	For
1d.	Election of Director: William W. George	Management	For	For
1e.	Election of Director: James A. Johnson	Management	For	For
1f.	Election of Director: Ellen J. Kullman	Management	For	For
1g.	Election of Director: Lakshmi N. Mittal	Management	For	For
1h.	Election of Director: Adebayo O. Ogunlesi	Management	For	For
1i.	Election of Director: Peter Oppenheimer	Management	For	For
1j.	Election of Director: David A. Viniar	Management	For	For
1k.	Election of Director: Mark O. Winkelman	Management	For	For
2.	Advisory Vote to Approve Executive Compensation (Say on Pay)	Management	For	For
3.	Approval of The Goldman Sachs Amended and Restated Stock Incentive Plan (2018)	Management	For	For
4.	Ratification of PricewaterhouseCoopers LLP as our Independent Registered Public Accounting Firm for 2018	Management	For	For
5.	Shareholder Proposal Requesting Report on Lobbying	Shareholder	Against	For
6.	Shareholder Proposal Regarding Amendments to Stockholder Proxy Access	Shareholder	For	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	PGB - CIBC	BNY MELLON	2,100	0	26-Mar-2018	03-May-2018

## Investment Company Report

### STANDARD CHARTERED PLC

Security	G84228157	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	09-May-2018
ISIN	GB0004082847	Agenda	709133879 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE COMPANY'S ANNUAL REPORT AND ACCOUNTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017 TOGETHER WITH THE REPORTS OF THE DIRECTORS AND AUDITORS	Management	For	For
2	TO DECLARE A FINAL DIVIDEND OF USD 0.11 PER ORDINARY SHARE FOR THE YEAR ENDED 31 DECEMBER 2017	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2017	Management	For	For
4	TO ELECT DR NGOZI OKONJO-IWEALA AS A NON-EXECUTIVE DIRECTOR	Management	For	For
5	TO RE-ELECT OM BHATT, A NON-EXECUTIVE DIRECTOR	Management	For	For
6	TO RE-ELECT DR LOUIS CHEUNG, A NON-EXECUTIVE DIRECTOR	Management	For	For
7	TO RE-ELECT DAVID CONNER, A NON-EXECUTIVE DIRECTOR	Management	For	For
8	TO RE-ELECT DR BYRON GROTE, A NON-EXECUTIVE DIRECTOR	Management	For	For
9	TO RE-ELECT ANDY HALFORD, AN EXECUTIVE DIRECTOR	Management	For	For
10	TO RE-ELECT DR HAN SEUNG-SOO, KBE, A NON-EXECUTIVE DIRECTOR	Management	For	For
11	TO RE-ELECT CHRISTINE HODGSON, A NON-EXECUTIVE DIRECTOR	Management	For	For
12	TO RE-ELECT GAY HUEY EVANS, OBE, A NON-EXECUTIVE DIRECTOR	Management	For	For
13	TO RE-ELECT NAGUIB KHERAJ, A NON-EXECUTIVE DIRECTOR	Management	For	For
14	TO RE-ELECT JOSE VINALS, GROUP CHAIRMAN	Management	For	For
15	TO RE-ELECT JASMINE WHITBREAD, A NON-EXECUTIVE DIRECTOR	Management	For	For
16	TO RE-ELECT BILL WINTERS, AN EXECUTIVE DIRECTOR	Management	For	For
17	TO RE-APPOINT KPMG LLP AS AUDITOR OF THE COMPANY FROM THE CONCLUSION OF THE AGM UNTIL THE CONCLUSION OF NEXT YEAR'S AGM	Management	For	For
18	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE REMUNERATION OF THE AUDITOR	Management	For	For

## Investment Company Report

19	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS	Management	For	For
20	TO AUTHORISE THE BOARD TO ALLOT SHARES	Management	For	For
21	TO EXTEND THE AUTHORITY TO ALLOT SHARES BY SUCH NUMBER OF SHARES REPURCHASED BY THE COMPANY UNDER THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 26	Management	For	For
22	TO AUTHORISE THE BOARD TO ALLOT SHARES AND GRANT RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO SHARES IN RELATION TO ANY ISSUE OF EQUITY CONVERTIBLE ADDITIONAL TIER 1 SECURITIES	Management	For	For
23	TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 20	Management	For	For
24	IN ADDITION TO RESOLUTION 23, TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 20 FOR THE PURPOSES OF ACQUISITIONS AND OTHER CAPITAL INVESTMENTS	Management	For	For
25	TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTIONS 23 AND 24 AND IF RESOLUTION 22 IS PASSED	Management	For	For
26	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN ORDINARY SHARES	Management	For	For
27	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN PREFERENCE SHARES	Management	For	For
28	THAT A GENERAL MEETING OTHER THAN AN AGM MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS' NOTICE	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	34,000	0	29-Mar-2018	02-May-2018

## Investment Company Report

### JPMORGAN CHASE & CO.

Security	46625H100	Meeting Type	Annual
Ticker Symbol	JPM	Meeting Date	15-May-2018
ISIN	US46625H1005	Agenda	934764463 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Linda B. Bammann	Management	For	For
1b.	Election of Director: James A. Bell	Management	For	For
1c.	Election of Director: Stephen B. Burke	Management	For	For
1d.	Election of Director: Todd A. Combs	Management	For	For
1e.	Election of Director: James S. Crown	Management	For	For
1f.	Election of Director: James Dimon	Management	For	For
1g.	Election of Director: Timothy P. Flynn	Management	For	For
1h.	Election of Director: Mellody Hobson	Management	For	For
1i.	Election of Director: Laban P. Jackson Jr.	Management	For	For
1j.	Election of Director: Michael A. Neal	Management	For	For
1k.	Election of Director: Lee R. Raymond	Management	For	For
1l.	Election of Director: William C. Weldon	Management	For	For
2.	Ratification of special meeting provisions in the Firm's By-Laws	Management	For	For
3.	Advisory resolution to approve executive compensation	Management	For	For
4.	Approval of Amended and Restated Long-Term Incentive Plan effective May 15, 2018	Management	For	For
5.	Ratification of independent registered public accounting firm	Management	For	For
6.	Independent Board chairman	Shareholder	Against	For
7.	Vesting for government service	Shareholder	Against	For
8.	Proposal to report on investments tied to genocide	Shareholder	Against	For
9.	Cumulative Voting	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (PGB - RBC)	RBC DOMINION SECURITIES INC	1,000	0	09-Apr-2018	16-May-2018
B01P13F0500002C	B01P13F0500002 C	PGB - CIBC	BNY MELLON	6,000	0	09-Apr-2018	16-May-2018

# Investment Company Report

## STATE STREET CORPORATION

Security	857477103	Meeting Type	Annual
Ticker Symbol	STT	Meeting Date	16-May-2018
ISIN	US8574771031	Agenda	934769273 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: K. Burnes	Management	For	For
1b.	Election of Director: P. de Saint-Aignan	Management	For	For
1c.	Election of Director: L. Dugle	Management	For	For
1d.	Election of Director: A. Fawcett	Management	For	For
1e.	Election of Director: W. Freda	Management	For	For
1f.	Election of Director: L. Hill	Management	For	For
1g.	Election of Director: J. Hooley	Management	For	For
1h.	Election of Director: S. Mathew	Management	For	For
1i.	Election of Director: W. Meaney	Management	For	For
1j.	Election of Director: S. O'Sullivan	Management	For	For
1k.	Election of Director: R. Sergel	Management	For	For
1l.	Election of Director: G. Summe	Management	For	For
2.	To approve an advisory proposal on executive compensation.	Management	For	For
3.	To amend the Articles of Organization to implement a majority voting standard for specified corporate actions.	Management	For	For
4.	To ratify the selection of Ernst & Young LLP as State Street's independent registered public accounting firm for the year ending December 31, 2018.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,000	0	09-Apr-2018	17-May-2018

## Investment Company Report

### BNP PARIBAS

Security	05565A202	Meeting Type	Annual
Ticker Symbol	BNPQY	Meeting Date	24-May-2018
ISIN	US05565A2024	Agenda	934813468 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	Approval of the parent company financial statements for the 2017 financial year.	Management	For	For
2.	Approval of the consolidated financial statements for the 2017 financial year.	Management	For	For
3.	Appropriation of net income for the 2017 financial year and distribution of dividends.	Management	For	For
4.	Special report of the Statutory Auditors on related party agreements and commitments falling within the scope of articles L.225-38 et seq. of the French Commercial Code.	Management	For	For
5.	Authorisation for the Board of Directors to purchase Company shares	Management	For	For
6.	Re-appointment of a Statutory Auditor and of an Alternate Auditor (Deloitte & Associates and BEAS).	Management	For	For
7.	Re-appointment of a Statutory Auditor and appointment of a new Alternate Auditor (Mazars and Mr. Charles de Boisriou).	Management	For	For
8.	Re-appointment of a Statutory Auditor and appointment of a new Alternate Auditor (PricewaterhouseCoopers Audit and Mr. Jean-Baptiste Deschryver).	Management	For	For
9.	Re-election of a Director (Mr. Pierre Andre de Chalendar).	Management	For	For
10.	Re-election of a Director (Mr. Denis Kessler).	Management	For	For
11.	Re-election of a Director (Ms Laurence Parisot).	Management	For	For
12.	Vote on the components of the remuneration policy attributable to the Chairman of the Board of Directors.	Management	For	For
13.	Vote on the components of the remuneration policy attributable to the Chief Executive Officer and the Chief Operating Officer.	Management	For	For
14.	Vote on the components of the remuneration paid or awarded in respect of fiscal year 2017 to Jean Lemierre, Chairman of the Board of Directors.	Management	For	For
15.	Vote on the components of the remuneration paid or awarded in respect of fiscal year 2017 to Jean-Laurent Bonnafe, Chief Executive Officer.	Management	For	For
16.	Vote on the components of the remuneration paid or awarded in respect of fiscal year 2017 to Philippe Bordenave, Chief Operating Officer.	Management	For	For
17.	Advisory vote on the overall amount of remuneration of any kind paid during fiscal year 2017 to executives and certain categories of personnel	Management	For	For

## Investment Company Report

18.	Setting the upper limit of the variable portion of remuneration payable to executives and certain categories of personnel.	Management	For	For
19.	Authorisation for the Board of Directors to increase the share capital, maintaining preferential subscription rights for existing shareholders, through the issue of ordinary shares and securities granting immediate or future access to new shares.	Management	For	For
20.	Authorisation for the Board of Directors to increase the share capital, without preferential subscription rights for existing shareholders, through the issue of ordinary shares and securities granting immediate or future access to new shares.	Management	For	For
21.	Authorisation for the Board of Directors to increase the share capital, without preferential subscription rights for existing shareholders, through the issue of ordinary shares and securities granting immediate or future access to new shares issued in consideration of securities tendered, within the limit of 10% of the share capital.	Management	For	For
22.	Overall limitation of issue authorisations without preferential subscription rights.	Management	For	For
23.	Authorisation for the Board of Directors to increase the share capital by capitalisation of reserves or earnings, share premiums or additional paid-in capital.	Management	For	For
24.	Overall limitation of issue authorisations with or without preferential subscription rights.	Management	For	For
25.	Authorisation granted to the Board of Directors to conduct transactions reserved for the members of the BNP Paribas Group Company Savings Plan, without preferential subscription rights, which may take the form of capital increases and/or reserved sales of securities.	Management	For	For
26.	Authorisation for the Board of Directors to reduce the share capital by cancelling shares.	Management	For	For
27.	Amendments of Articles of association relating to the age limit of the Chairman, the Chief Executive Officer and the Chief Operating Officers.	Management	For	For
28.	Authority to complete legal formalities.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	4,000	0	02-May-2018	16-May-2018

## Investment Company Report

### BNP PARIBAS SA, PARIS

Security	F1058Q238	Meeting Type	MIX
Ticker Symbol		Meeting Date	24-May-2018
ISIN	FR0000131104	Agenda	709020541 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE IN THE FRENCH MARKET THAT THE ONLY VALID VOTE OPTIONS ARE "FOR"-AND "AGAINST" A VOTE OF "ABSTAIN" WILL BE TREATED AS AN "AGAINST" VOTE.	Non-Voting		
CMMT	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A-FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE-GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED-INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD-THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT-YOUR CLIENT REPRESENTATIVE	Non-Voting		
CMMT	IN CASE AMENDMENTS OR NEW RESOLUTIONS ARE PRESENTED DURING THE MEETING, YOUR-VOTE WILL DEFAULT TO 'ABSTAIN'. SHARES CAN ALTERNATIVELY BE PASSED TO THE-CHAIRMAN OR A NAMED THIRD PARTY TO VOTE ON ANY SUCH ITEM RAISED. SHOULD YOU-WISH TO PASS CONTROL OF YOUR SHARES IN THIS WAY, PLEASE CONTACT YOUR-BROADRIDGE CLIENT SERVICE REPRESENTATIVE. THANK YOU	Non-Voting		
O.1	APPROVAL OF CORPORATE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2017	Management	For	For
O.2	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2017	Management	For	For
O.3	ALLOCATION OF INCOME FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017 AND DISTRIBUTION OF THE DIVIDEND	Management	For	For
O.4	STATUTORY AUDITORS' SPECIAL REPORT ON THE AGREEMENTS AND COMMITMENTS REFERRED TO IN ARTICLES L. 225-38 AND FOLLOWING OF THE FRENCH COMMERCIAL CODE	Management	For	For
O.5	AUTHORIZATION FOR BNP PARIBAS TO BUY BACK ITS OWN SHARES	Management	For	For
O.6	RENEWAL OF THE EXPIRING TERMS OF OFFICE OF DELOITTE & ASSOCIES AS PRINCIPAL STATUTORY AUDITOR AND SOCIETE BEAS AS DEPUTY STATUTORY AUDITORS	Management	For	For

## Investment Company Report

O.7	RENEWAL OF THE EXPIRING TERM OF OFFICE OF MAZARS AS PRINCIPAL STATUTORY AUDITOR AND APPOINTMENT OF CHARLES DE BOISRIOU AS DEPUTY STATUTORY AUDITOR AS A REPLACEMENT FOR MICHEL BARBET-MASSIN	Management	For	For
O.8	RENEWAL OF THE EXPIRING TERM OF OFFICE OF PRICEWATERHOUSECOOPERS AUDIT AS PRINCIPAL STATUTORY AUDITOR AND APPOINTMENT OF JEAN-BAPTISTE DESCHRYVER AS DEPUTY STATUTORY AUDITOR AS A REPLACEMENT FOR ANIK CHAUMARTIN	Management	For	For
O.9	RENEWAL OF THE TERM OF OFFICE OF MR. PIERRE ANDRE DE CHALENDAR AS DIRECTOR	Management	For	For
O.10	RENEWAL OF THE TERM OF OFFICE OF MR. DENIS KESSLER AS DIRECTOR	Management	For	For
O.11	RENEWAL OF THE TERM OF OFFICE OF MRS. LAURENCE PARISOT AS DIRECTOR	Management	For	For
O.12	VOTE ON THE COMPENSATION POLICY ELEMENTS ATTRIBUTABLE TO THE CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
O.13	VOTE ON THE COMPENSATION POLICY ELEMENTS ATTRIBUTABLE TO THE CHIEF EXECUTIVE OFFICER AND DEPUTY CHIEF EXECUTIVE OFFICER	Management	For	For
O.14	VOTE ON THE COMPENSATION ELEMENTS PAID OR AWARDED FOR THE FINANCIAL YEAR 2017 TO MR. JEAN LEMIERRE, CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
O.15	VOTE ON THE COMPENSATION ELEMENTS PAID OR AWARDED FOR THE FINANCIAL YEAR 2017 TO MR. JEAN-LAURENT BONNAFE, CHIEF EXECUTIVE OFFICER	Management	For	For
O.16	VOTE ON THE COMPENSATION ELEMENTS PAID OR AWARDED FOR THE FINANCIAL YEAR 2017 TO MR. PHILIPPE BORDENAVE, DEPUTY CHIEF EXECUTIVE OFFICER	Management	For	For
O.17	ADVISORY VOTE ON THE OVERALL REMUNERATION AMOUNT OF ANY KIND PAID DURING THE FINANCIAL YEAR 2017 TO THE EXECUTIVE OFFICERS AND TO CERTAIN CATEGORIES OF EMPLOYEES	Management	For	For
O.18	SETTING OF THE CAP ON THE VARIABLE PART OF THE COMPENSATION OF THE EXECUTIVE OFFICERS AND CERTAIN CATEGORIES OF EMPLOYEES	Management	For	For
E.19	CAPITAL INCREASE, WITH RETENTION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHT, BY ISSUING COMMON SHARES AND TRANSFERABLE SECURITIES GRANTING ACCESS IMMEDIATELY OR IN THE FUTURE TO SHARES TO BE ISSUED	Management	For	For

## Investment Company Report

E.20	CAPITAL INCREASE, WITH CANCELLATION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHT, BY ISSUING COMMON SHARES AND TRANSFERABLE SECURITIES GRANTING ACCESS IMMEDIATELY OR IN THE FUTURE TO SHARES TO BE ISSUED	Management	For	For
E.21	CAPITAL INCREASE, WITH CANCELLATION OF PRE-EMPTIVE SUBSCRIPTION RIGHT, BY ISSUING COMMON SHARES AND TRANSFERABLE SECURITIES GRANTING ACCESS IMMEDIATELY OR IN THE FUTURE TO SHARES TO BE ISSUED TO REMUNERATE CONTRIBUTIONS OF SECURITIES WITHIN THE LIMIT OF 10% OF THE CAPITAL	Management	For	For
E.22	OVERALL LIMITATION OF ISSUING AUTHORIZATIONS WITH CANCELLATION OF PRE-EMPTIVE SUBSCRIPTION RIGHT	Management	For	For
E.23	CAPITAL INCREASE BY CAPITALISATION OF RESERVES OR PROFITS, ISSUE OR CONTRIBUTION PREMIUMS	Management	For	For
E.24	OVERALL LIMITATION OF ISSUING AUTHORIZATIONS WITH RETENTION OR CANCELLATION OF PRE-EMPTIVE SUBSCRIPTION RIGHT	Management	For	For
E.25	AUTHORIZATION TO BE GRANTED TO THE BOARD OF DIRECTORS TO CARRY OUT OPERATIONS RESERVED FOR MEMBERS OF THE BNP PARIBAS GROUP CORPORATE SAVINGS PLAN, WITH CANCELLATION OF PRE-EMPTIVE SUBSCRIPTION RIGHT, WHICH MAY TAKE THE FORM OF CAPITAL INCREASES AND/OR DISPOSALS OF RESERVED SECURITIES	Management	For	For
E.26	AUTHORIZATION TO BE GRANTED TO THE BOARD OF DIRECTORS TO REDUCE THE CAPITAL BY CANCELLATION OF SHARES	Management	For	For
E.27	AMENDMENT TO THE BY-LAWS RELATING TO THE AGE LIMIT OF THE CHAIRMAN, THE CHIEF EXECUTIVE OFFICER AND THE DEPUTY CHIEF EXECUTIVE OFFICERS	Management	For	For
E.28	POWERS TO CARRY OUT ALL LEGAL FORMALITIES	Management	For	For
CMMT	23 APR 2018: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS-AVAILABLE BY CLICKING ON THE MATERIAL URL LINK:- <a href="https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0305/201803051-800438.pdf">https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0305/201803051-800438.pdf</a> AND- <a href="https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0409/201804091-800954.pdf">https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0409/201804091-800954.pdf</a> . PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF THE URL-LINK AND CHANGE IN RECORD DATE AND CHANGE IN NUMBERING. IF YOU HAVE ALREADY-SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR-ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		

## Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	600	0	06-Mar-2018	17-May-2018

# Investment Company Report

## MORGAN STANLEY

Security	617446448	Meeting Type	Annual
Ticker Symbol	MS	Meeting Date	24-May-2018
ISIN	US6174464486	Agenda	934776901 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Elizabeth Corley	Management	For	For
1b.	Election of Director: Alistair Darling	Management	For	For
1c.	Election of Director: Thomas H. Glocer	Management	For	For
1d.	Election of Director: James P. Gorman	Management	For	For
1e.	Election of Director: Robert H. Herz	Management	For	For
1f.	Election of Director: Nobuyuki Hirano	Management	For	For
1g.	Election of Director: Jami Miscik	Management	For	For
1h.	Election of Director: Dennis M. Nally	Management	For	For
1i.	Election of Director: Hutham S. Olayan	Management	For	For
1j.	Election of Director: Ryosuke Tamakoshi	Management	For	For
1k.	Election of Director: Perry M. Traquina	Management	For	For
1l.	Election of Director: Rayford Wilkins, Jr.	Management	For	For
2.	To ratify the appointment of Deloitte & Touche LLP as independent auditor	Management	For	For
3.	To approve the compensation of executives as disclosed in the proxy statement (non-binding advisory vote)	Management	For	For
4.	Shareholder proposal regarding a policy to prohibit vesting of deferred equity awards for senior executives who resign to enter government service	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,800	0	10-Apr-2018	25-May-2018

# Investment Company Report

## ROYAL BANK OF SCOTLAND GROUP PLC

Security	780097689	Meeting Type	Annual
Ticker Symbol	RBS	Meeting Date	30-May-2018
ISIN	US7800976893	Agenda	934815816 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the 2017 Report and Accounts	Management	For	For
2.	To approve the Annual Report on Remuneration in the Directors' Remuneration Report	Management	For	For
3.	To re-elect Howard Davies as a Director	Management	For	For
4.	To re-elect Ross McEwan as a Director	Management	For	For
5.	To re-elect Ewen Stevenson as a Director	Management	For	For
6.	To re-elect Frank Dangeard as a Director	Management	For	For
7.	To re-elect Alison Davis as a Director	Management	For	For
8.	To re-elect Morten Friis as a Director	Management	For	For
9.	To re-elect Robert Gillespie as a Director	Management	For	For
10.	To elect Yasmin Jetha as a Director	Management	For	For
11.	To re-elect Brendan Nelson as a Director	Management	For	For
12.	To re-elect Baroness Noakes as a Director	Management	For	For
13.	To re-elect Mike Rogers as a Director	Management	For	For
14.	To re-elect Mark Seligman as a Director	Management	For	For
15.	To elect Dr Lena Wilson as a Director	Management	For	For
16.	To re-appoint Ernst & Young LLP as auditors of the Company	Management	For	For
17.	To authorise the Group Audit Committee to fix the remuneration of the auditors	Management	For	For
18.	To renew the Directors' authority to allot shares in the Company	Management	For	For
19.	To renew the Directors' authority to allot equity securities on a non-preemptive basis in connection with an offer or issue of equity securities	Management	For	For
20.	To grant the Directors' additional authority to allot equity securities on a non-preemptive basis in connection with the purposes of financing a transaction	Management	For	For
21.	To renew the Directors' authority to allot ordinary shares or grant rights to subscribe for or to convert any security into ordinary shares in relation to Equity Convertible Notes	Management	For	For
22.	To renew the Directors' authority to allot equity securities on a non-preemptive basis in connection with Equity Convertible Notes	Management	For	For

## Investment Company Report

23.	To renew the authority to permit the holding of General Meetings of the Company at 14 clear days' notice	Management	For	For
24.	To renew the authority in respect of political donations and expenditure by the Company in terms of section 366 of the Companies Act 2006	Management	For	For
25.	To renew the authority for the Company to purchase its own shares on a recognised investment exchange	Management	For	For
26.	To renew the authority to offer shares in lieu of a cash dividend on ordinary shares	Management	For	For
27.	To direct the Board to establish a Shareholder Committee	Management	For	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	46,500	0	03-May-2018	23-May-2018

## Investment Company Report

### THE ROYAL BANK OF SCOTLAND GROUP PLC

Security	G7S86Z172	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	30-May-2018
ISIN	GB00B7T77214	Agenda	709354904 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE 2017 REPORT AND ACCOUNTS	Management	For	For
2	TO APPROVE THE ANNUAL REPORT ON REMUNERATION IN THE DIRECTORS' REMUNERATION REPORT	Management	For	For
3	TO RE-ELECT HOWARD DAVIES AS A DIRECTOR	Management	For	For
4	TO RE-ELECT ROSS MCEWAN AS A DIRECTOR	Management	For	For
5	TO RE-ELECT EWEN STEVENSON AS A DIRECTOR	Management	For	For
6	TO RE-ELECT FRANK DANGEARD AS A DIRECTOR	Management	For	For
7	TO RE-ELECT ALISON DAVIS AS A DIRECTOR	Management	For	For
8	TO RE-ELECT MORTEN FRIIS AS A DIRECTOR	Management	For	For
9	TO RE-ELECT ROBERT GILLESPIE AS A DIRECTOR	Management	For	For
10	TO ELECT YASMIN JETHA AS A DIRECTOR	Management	For	For
11	TO RE-ELECT BRENDAN NELSON AS A DIRECTOR	Management	For	For
12	TO RE-ELECT BARONESS NOAKES AS A DIRECTOR	Management	For	For
13	TO RE-ELECT MIKE ROGERS AS A DIRECTOR	Management	For	For
14	TO RE-ELECT MARK SELIGMAN AS A DIRECTOR	Management	For	For
15	TO ELECT DR LENA WILSON AS A DIRECTOR	Management	For	For
16	TO RE-APPOINT ERNST & YOUNG LLP AS AUDITORS OF THE COMPANY	Management	For	For
17	TO AUTHORISE THE GROUP AUDIT COMMITTEE TO FIX THE REMUNERATION OF THE AUDITORS	Management	For	For
18	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT SHARES IN THE COMPANY	Management	For	For
19	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT EQUITY SECURITIES ON A NON PRE-EMPTIVE BASIS IN CONNECTION WITH AN OFFER OR ISSUE OF EQUITY SECURITIES	Management	For	For
20	TO GRANT THE DIRECTORS' ADDITIONAL AUTHORITY TO ALLOT EQUITY SECURITIES ON A NON PRE-EMPTIVE BASIS IN CONNECTION WITH THE PURPOSES OF FINANCING A TRANSACTION	Management	For	For
21	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO ORDINARY SHARES IN RELATION TO EQUITY CONVERTIBLE NOTES	Management	For	For

## Investment Company Report

22	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT EQUITY SECURITIES ON A NON PRE-EMPTIVE BASIS IN CONNECTION WITH EQUITY CONVERTIBLE NOTES	Management	For	For
23	TO RENEW THE AUTHORITY TO PERMIT THE HOLDING OF GENERAL MEETINGS OF THE COMPANY AT 14 CLEAR DAYS' NOTICE	Management	For	For
24	TO RENEW THE AUTHORITY IN RESPECT OF POLITICAL DONATIONS AND EXPENDITURE BY THE COMPANY IN TERMS OF SECTION 366 OF THE COMPANIES ACT 2006	Management	For	For
25	TO RENEW THE AUTHORITY FOR THE COMPANY TO PURCHASE ITS OWN SHARES ON A RECOGNISED INVESTMENT EXCHANGE	Management	For	For
26	TO RENEW THE AUTHORITY TO OFFER SHARES IN LIEU OF A CASH DIVIDEND ON ORDINARY SHARES	Management	For	For
27	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: TO DIRECT THE BOARD TO ESTABLISH SHAREHOLDER COMMITTEE	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	5,000	0	30-Apr-2018	23-May-2018