

Investment Company Report

NORDEA BANK AB, STOCKHOLM

Security	W57996105	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	16-Mar-2017
ISIN	SE0000427361	Agenda	707756043 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	AN ABSTAIN VOTE CAN HAVE THE SAME EFFECT AS AN AGAINST VOTE IF THE MEETING-REQUIRE APPROVAL FROM MAJORITY OF PARTICIPANTS TO PASS A RESOLUTION.	Non-Voting		
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting		
1	ELECTION OF A CHAIRMAN FOR THE GENERAL MEETING: EVA HAGG, MEMBER OF THE-SWEDISH BAR ASSOCIATION	Non-Voting		
2	PREPARATION AND APPROVAL OF THE VOTING LIST	Non-Voting		
3	APPROVAL OF THE AGENDA	Non-Voting		
4	ELECTION OF AT LEAST ONE MINUTES CHECKER	Non-Voting		
5	DETERMINATION WHETHER THE GENERAL MEETING HAS BEEN DULY CONVENED	Non-Voting		
6	SUBMISSION OF THE ANNUAL REPORT AND CONSOLIDATED ACCOUNTS, AND OF THE AUDIT- REPORT AND THE GROUP AUDIT REPORT IN CONNECTION HEREWITH: SPEECH BY THE GROUP- CEO	Non-Voting		
7	ADOPTION OF THE INCOME STATEMENT AND THE CONSOLIDATED INCOME STATEMENT, AND THE BALANCE SHEET AND THE CONSOLIDATED BALANCE SHEET	Management	Abstain	Against
8	DECISION ON DISPOSITIONS OF THE COMPANY'S PROFIT ACCORDING TO THE ADOPTED BALANCE SHEET: A DIVIDEND OF 0.65 EURO PER SHARE	Management	Abstain	Against

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9	DECISION REGARDING DISCHARGE FROM LIABILITY FOR THE MEMBERS OF THE BOARD OF DIRECTORS AND THE CEO (THE AUDITOR RECOMMENDS DISCHARGE FROM LIABILITY)	Management	Abstain	Against
10	DETERMINATION OF THE NUMBER OF BOARD MEMBERS: (10) AND DEPUTY MEMBERS (0) OF BOARD	Management	Abstain	Against
11	DETERMINATION OF THE NUMBER OF AUDITORS: (1) AND DEPUTY AUDITORS (0)	Management	Abstain	Against
12	DETERMINATION OF FEES FOR BOARD MEMBERS AND AUDITORS	Management	Abstain	Against
13	ELECTION OF BOARD MEMBERS AND CHAIRMAN OF THE BOARD: THE NOMINATION COMMITTEE'S PROPOSAL: FOR THE PERIOD UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING BJORN WAHLROOS, ROBIN LAWATHER, LARS G NORDSTROM, SARAH RUSSELL, SILVIJA SERES, KARI STADIGH AND BIRGER STEEN SHALL BE RE-ELECTED AS BOARD MEMBERS AND PERNILLE ERENBJERG, MARIA VARSELLONA AND LARS WOLLUNG SHALL BE ELECTED AS BOARD MEMBERS. FOR THE PERIOD UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING BJORN WAHLROOS SHALL BE RE-ELECTED AS CHAIRMAN	Management	Abstain	Against
14	ELECTION OF AUDITORS: OHRLINGS PRICEWATERHOUSECOOPERS AB	Management	Abstain	Against
15	RESOLUTION ON ESTABLISHMENT OF A NOMINATION COMMITTEE	Management	Abstain	Against
16	RESOLUTION ON AUTHORIZATION FOR THE BOARD OF DIRECTORS TO DECIDE ON ISSUE OF CONVERTIBLE INSTRUMENTS IN THE COMPANY	Management	Abstain	Against
17	RESOLUTION ON PURCHASE OF OWN SHARES ACCORDING TO CHAPTER 7 SECTION 6 OF THE SWEDISH SECURITIES MARKET ACT (SW. LAGEN (2007:528) OM VARDEPAPPERSMARKNADEN)	Management	Abstain	Against
18	RESOLUTION ON GUIDELINES FOR REMUNERATION FOR EXECUTIVE OFFICERS	Management	Abstain	Against
19	APPOINTMENT OF AUDITOR IN A FOUNDATION MANAGED BY THE COMPANY	Management	Abstain	Against
20.A	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES TO ADOPT A VISION ON ABSOLUTE EQUALITY BETWEEN MEN AND WOMEN ON ALL LEVELS IN THE COMPANY	Shareholder	Abstain	Against

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20.B	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES TO INSTRUCT THE BOARD OF DIRECTORS OF THE COMPANY TO SET UP A WORKING GROUP WITH THE TASK OF REALIZING THIS VISION ON THE LONG TERM AND MONITORING CLOSELY THE DEVELOPMENT IN BOTH THE EQUALITY AND THE ETHNICITY AREA	Shareholder	Abstain	Against
20.C	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES TO ANNUALLY SUBMIT A WRITTEN REPORT TO THE ANNUAL GENERAL MEETING, AS A SUGGESTION BY INCLUDING THE REPORT IN THE PRINTED ANNUAL REPORT	Shareholder	Abstain	Against
20.D	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES TO INSTRUCT THE BOARD OF DIRECTORS TO TAKE NECESSARY MEASURES IN ORDER TO CREATE A SHAREHOLDERS ASSOCIATION IN THE COMPANY	Shareholder	Abstain	Against
20.E	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES THAT THE BOARD DIRECTORS SHALL NOT BE ALLOWED TO INVOICE THEIR BOARD FEES THROUGH A LEGAL PERSON, SWEDISH OR FOREIGN	Shareholder	Abstain	Against
20.F	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES THAT THE NOMINATION COMMITTEE WHEN PERFORMING ITS TASKS SHALL PAY SPECIFIC ATTENTION TO QUESTIONS RELATED TO ETHICS, GENDER AND ETHNICITY	Shareholder	Abstain	Against
20.G	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES TO INSTRUCT TO THE BOARD OF DIRECTORS TO SUBMIT A PROPOSAL FOR DECISION ON	Shareholder	Abstain	Against

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REPRESENTATION IN THE BOARD OF DIRECTORS AS WELL AS IN THE NOMINATION COMMITTEE FOR THE SMALL AND MEDIUM SIZED SHAREHOLDERS TO THE ANNUAL GENERAL MEETING 2018 (OR ANY EXTRAORDINARY SHAREHOLDERS MEETING HELD BEFORE THAT)

20.H	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES IN RELATION TO ITEM 20E. ABOVE, INSTRUCT THE BOARD OF DIRECTORS TO WRITE TO THE APPROPRIATE AUTHORITY IN THE FIRST PLACE THE SWEDISH GOVERNMENT OR THE TAX AUTHORITIES TO BRING ABOUT A CHANGED REGULATION IN THIS AREA	Shareholder	Abstain	Against
20.I	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: THAT THE ANNUAL GENERAL MEETING DECIDES TO INSTRUCT THE BOARD OF DIRECTORS TO WRITE TO THE SWEDISH GOVERNMENT AND DRAW ITS ATTENTION TO THE DESIRABILITY OF AMENDING THE LAW MEANING THAT THE POSSIBILITY TO HAVE SHARES WITH DIFFERENT VOTING RIGHTS SHALL BE ABOLISHED IN SWEDISH LIMITED LIABILITY COMPANIES	Shareholder	Abstain	Against
20.J	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: RESOLUTIONS ON THE FOLLOWING MATTERS INITIATED BY THE SHAREHOLDER THORWALD ARVIDSSON: TO AMEND ARTICLE 7 OF THE ARTICLES OF ASSOCIATION	Shareholder	Abstain	Against
CMMT	09 MAR 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT OF RESOLUTIONS NO 10 AND 11. IF YOU HAVE ALREADY SENT IN YOUR VOTES,-PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL-INSTRUCTIONS. THANK YOU.	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	7,000	0	09-Feb-2017	07-Mar-2017

Investment Company Report

FIFTH THIRD BANCORP

Security	316773100	Meeting Type	Annual
Ticker Symbol	FITB	Meeting Date	18-Apr-2017
ISIN	US3167731005	Agenda	934536600 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: NICHOLAS K. AKINS	Management	For	For
1B.	ELECTION OF DIRECTOR: B. EVAN BAYH III	Management	For	For
1C.	ELECTION OF DIRECTOR: JORGE L. BENITEZ	Management	For	For
1D.	ELECTION OF DIRECTOR: KATHERINE B. BLACKBURN	Management	For	For
1E.	ELECTION OF DIRECTOR: JERRY W. BURRIS	Management	For	For
1F.	ELECTION OF DIRECTOR: EMERSON L. BRUMBACK	Management	For	For
1G.	ELECTION OF DIRECTOR: GREG D. CARMICHAEL	Management	For	For
1H.	ELECTION OF DIRECTOR: GARY R. HEMINGER	Management	For	For
1I.	ELECTION OF DIRECTOR: JEWELL D. HOOVER	Management	For	For
1J.	ELECTION OF DIRECTOR: EILEEN A. MALLESCH	Management	For	For
1K.	ELECTION OF DIRECTOR: MICHAEL B. MCCALLISTER	Management	For	For
1L.	ELECTION OF DIRECTOR: MARSHA C. WILLIAMS	Management	For	For
2.	APPROVAL OF THE APPOINTMENT OF THE FIRM OF DELOITTE & TOUCHE LLP TO SERVE AS THE INDEPENDENT EXTERNAL AUDIT FIRM FOR THE COMPANY FOR THE YEAR 2017.	Management	For	For
3.	AN ADVISORY APPROVAL OF THE COMPANY'S EXECUTIVE COMPENSATION.	Management	For	For
4.	AN ADVISORY VOTE TO DETERMINE WHETHER THE SHAREHOLDER VOTE ON THE COMPENSATION OF THE COMPANY'S EXECUTIVES WILL OCCUR EVERY 1, 2, OR 3 YEARS.	Management	1 Year	For
5.	APPROVAL OF THE FIFTH THIRD BANCORP 2017 INCENTIVE COMPENSATION PLAN, INCLUDING THE ISSUANCE OF SHARES AUTHORIZED THEREUNDER.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,700	0	27-Mar-2017	19-Apr-2017

Investment Company Report

CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	25-Apr-2017
ISIN	US1729674242	Agenda	934541904 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management	For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management	For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management	For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management	For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management	For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
1O.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For

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| 7. | STOCKHOLDER PROPOSAL REQUESTING A REPORT ON LOBBYING AND GRASSROOTS LOBBYING CONTRIBUTIONS. | Shareholder | Against | For |
| 8. | STOCKHOLDER PROPOSAL REQUESTING AN AMENDMENT TO THE GENERAL CLAWBACK POLICY TO PROVIDE THAT A SUBSTANTIAL PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUTIVE OFFICERS SHALL BE DEFERRED AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION OF THE BOARD, TO HELP SATISFY ANY MONETARY PENALTY ASSOCIATED WITH A VIOLATION OF LAW. | Shareholder | Against | For |
| 9. | STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY PROHIBITING THE VESTING OF EQUITY-BASED AWARDS FOR SENIOR EXECUTIVES DUE TO A VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE. | Shareholder | Against | For |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (RBC PGB)	RBC DOMINION SECURITIES INC	2,200	0	27-Mar-2017	26-Apr-2017
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	14,300	0	27-Mar-2017	26-Apr-2017

Investment Company Report

DNB ASA

Security	R1640U124	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	25-Apr-2017
ISIN	NO0010031479	Agenda	707938936 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	SHARES HELD IN AN OMNIBUS/NOMINEE ACCOUNT NEED TO BE RE-REGISTERED IN THE-BENEFICIAL OWNERS NAME TO BE ALLOWED TO VOTE AT MEETINGS. SHARES WILL BE-TEMPORARILY TRANSFERRED TO A SEPARATE ACCOUNT IN THE BENEFICIAL OWNER'S NAME-ON THE PROXY DEADLINE AND TRANSFERRED BACK TO THE OMNIBUS/NOMINEE ACCOUNT THE-DAY AFTER THE MEETING.	Non-Voting		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting		
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
CMMT	BLOCKING SHOULD ALWAYS BE APPLIED, RECORD DATE OR NOT.	Non-Voting		
1	OPENING OF THE GENERAL MEETING AND SELECTION OF A PERSON TO CHAIR THE MEETING- BY THE CHAIRMAN OF THE BOARD OF DIRECTORS	Non-Voting		
2	APPROVAL OF THE NOTICE OF THE GENERAL MEETING AND THE AGENDA	Management	Abstain	Against
3	ELECTION OF A PERSON TO SIGN THE MINUTES OF THE GENERAL MEETING ALONG WITH THE CHAIRMAN	Management	Abstain	Against
4	APPROVAL OF THE 2016 ANNUAL REPORT AND ACCOUNTS, INCLUDING THE DISTRIBUTION OF DIVIDENDS (THE BOARD OF DIRECTORS HAS PROPOSED A DIVIDEND OF NOK 5.70 PER SHARE)	Management	Abstain	Against

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5.A	STATEMENT FROM THE BOARD OF DIRECTORS IN CONNECTION WITH REMUNERATION TO SENIOR EXECUTIVES: SUGGESTED GUIDELINES (CONSULTATIVE VOTE)	Management	Abstain	Against
5.B	STATEMENT FROM THE BOARD OF DIRECTORS IN CONNECTION WITH REMUNERATION TO SENIOR EXECUTIVES: BINDING GUIDELINES (PRESENTED FOR APPROVAL)	Management	Abstain	Against
6	CORPORATE GOVERNANCE IN DNB	Management	Abstain	Against
7	APPROVAL OF THE AUDITOR'S REMUNERATION	Management	Abstain	Against
8	AUTHORISATION TO THE BOARD OF DIRECTORS FOR THE REPURCHASE OF SHARES	Management	Abstain	Against
9	ELECTION OF ONE MEMBER OF THE BOARD OF DIRECTORS IN LINE WITH THE RECOMMENDATION GIVEN: KARL-CHRISTIAN AGERUP	Management	Abstain	Against
10	APPROVAL OF REMUNERATION RATES FOR MEMBERS OF THE BOARD OF DIRECTORS AND THE ELECTION COMMITTEE IN LINE WITH THE RECOMMENDATION GIVEN	Management	Abstain	Against
CMMT	05 APR 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT OF RESOLUTION 4 AND 9. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO-NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK-YOU.	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	5,000	0	04-Apr-2017	11-Apr-2017

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WELLS FARGO & COMPANY

Security	949746101	Meeting Type	Annual
Ticker Symbol	WFC	Meeting Date	25-Apr-2017
ISIN	US9497461015	Agenda	934543314 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOHN D. BAKER II	Management	For	For
1B.	ELECTION OF DIRECTOR: JOHN S. CHEN	Management	For	For
1C.	ELECTION OF DIRECTOR: LLOYD H. DEAN	Management	For	For
1D.	ELECTION OF DIRECTOR: ELIZABETH A. DUKE	Management	For	For
1E.	ELECTION OF DIRECTOR: ENRIQUE HERNANDEZ, JR.	Management	For	For
1F.	ELECTION OF DIRECTOR: DONALD M. JAMES	Management	For	For
1G.	ELECTION OF DIRECTOR: CYNTHIA H. MILLIGAN	Management	For	For
1H.	ELECTION OF DIRECTOR: KAREN B. PEETZ	Management	For	For
1I.	ELECTION OF DIRECTOR: FEDERICO F. PENA	Management	For	For
1J.	ELECTION OF DIRECTOR: JAMES H. QUIGLEY	Management	For	For
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management	For	For
1L.	ELECTION OF DIRECTOR: RONALD L. SARGENT	Management	For	For
1M.	ELECTION OF DIRECTOR: TIMOTHY J. SLOAN	Management	For	For
1N.	ELECTION OF DIRECTOR: SUSAN G. SWENSON	Management	For	For
1O.	ELECTION OF DIRECTOR: SUZANNE M. VAUTRINOT	Management	For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	ADVISORY PROPOSAL ON THE FREQUENCY OF FUTURE ADVISORY VOTES TO APPROVE EXECUTIVE COMPENSATION.	Management	1 Year	For
4.	RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
5.	STOCKHOLDER PROPOSAL - RETAIL BANKING SALES PRACTICES REPORT.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL - CUMULATIVE VOTING.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL - DIVESTING NON-CORE BUSINESS REPORT.	Shareholder	Against	For
8.	STOCKHOLDER PROPOSAL - GENDER PAY EQUITY REPORT.	Shareholder	Against	For
9.	STOCKHOLDER PROPOSAL - LOBBYING REPORT.	Shareholder	Against	For
10.	STOCKHOLDER PROPOSAL - INDIGENOUS PEOPLES' RIGHTS POLICY.	Shareholder	Against	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	600	0	27-Mar-2017	26-Apr-2017

Investment Company Report

BANK OF AMERICA CORPORATION

Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	26-Apr-2017
ISIN	US0605051046	Agenda	934543453 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: SHARON L. ALLEN	Management	For	For
1B.	ELECTION OF DIRECTOR: SUSAN S. BIES	Management	For	For
1C.	ELECTION OF DIRECTOR: JACK O. BOVENDER, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.	Management	For	For
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management	For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management	For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management	For	For
1H.	ELECTION OF DIRECTOR: MONICA C. LOZANO	Management	For	For
1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management	For	For
1J.	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management	For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management	For	For
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management	For	For
1M.	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management	For	For
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management	For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management	For	For
3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management	1 Year	For
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder	For	Against
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder	Against	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (RBC PGB)	RBC DOMINION SECURITIES INC	6,000	0	27-Mar-2017	27-Apr-2017
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	17,000	0	27-Mar-2017	27-Apr-2017

Investment Company Report

CITIZENS FINANCIAL GROUP, INC.

Security	174610105	Meeting Type	Annual
Ticker Symbol	CFG	Meeting Date	27-Apr-2017
ISIN	US1746101054	Agenda	934541106 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: BRUCE VAN SAUN	Management	For	For
1B.	ELECTION OF DIRECTOR: MARK CASADY	Management	For	For
1C.	ELECTION OF DIRECTOR: CHRISTINE M. CUMMING	Management	For	For
1D.	ELECTION OF DIRECTOR: ANTHONY DI IORIO	Management	For	For
1E.	ELECTION OF DIRECTOR: WILLIAM P. HANKOWSKY	Management	For	For
1F.	ELECTION OF DIRECTOR: HOWARD W. HANNA III	Management	For	For
1G.	ELECTION OF DIRECTOR: LEO I. ("LEE") HIGDON	Management	For	For
1H.	ELECTION OF DIRECTOR: CHARLES J. ("BUD") KOCH	Management	For	For
1I.	ELECTION OF DIRECTOR: ARTHUR F. RYAN	Management	For	For
1J.	ELECTION OF DIRECTOR: SHIVAN S. SUBRAMANIAM	Management	For	For
1K.	ELECTION OF DIRECTOR: WENDY A. WATSON	Management	For	For
1L.	ELECTION OF DIRECTOR: MARITA ZURAITIS	Management	For	For
2.	ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	For	For
3.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	3,600	0	27-Mar-2017	28-Apr-2017

Investment Company Report

HSBC HOLDINGS PLC

Security	404280406	Meeting Type	Annual
Ticker Symbol	HSBC	Meeting Date	28-Apr-2017
ISIN	US4042804066	Agenda	934552351 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE ANNUAL REPORT & ACCOUNTS 2016	Management	For	For
2.	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
3A.	TO ELECT DAVID NISH AS A DIRECTOR	Management	For	For
3B.	TO ELECT JACKSON TAI AS A DIRECTOR	Management	For	For
3C.	TO RE-ELECT PHILLIP AMEEN AS A DIRECTOR	Management	For	For
3D.	TO RE-ELECT KATHLEEN CASEY AS A DIRECTOR	Management	For	For
3E.	TO RE-ELECT LAURA CHA AS A DIRECTOR	Management	For	For
3F.	TO RE-ELECT HENRI DE CASTRIES AS A DIRECTOR	Management	For	For
3G.	TO RE-ELECT LORD EVANS OF WEARDALE AS A DIRECTOR	Management	For	For
3H.	TO RE-ELECT JOACHIM FABER AS A DIRECTOR	Management	For	For
3I.	TO RE-ELECT DOUGLAS FLINT AS A DIRECTOR	Management	For	For
3J.	TO RE-ELECT STUART GULLIVER AS A DIRECTOR	Management	Against	Against
3K.	TO RE-ELECT IRENE LEE AS A DIRECTOR	Management	For	For
3L.	TO RE-ELECT JOHN LIPSKY AS A DIRECTOR	Management	For	For
3M.	TO RE-ELECT IAIN MACKAY AS A DIRECTOR	Management	For	For
3N.	TO RE-ELECT HEIDI MILLER AS A DIRECTOR	Management	For	For
3O.	TO RE-ELECT MARC MOSES AS A DIRECTOR	Management	For	For
3P.	TO RE-ELECT JONATHAN SYMONDS AS A DIRECTOR	Management	For	For
3Q.	TO RE-ELECT PAULINE VAN DER MEER MOHR AS A DIRECTOR	Management	For	For
3R.	TO RE-ELECT PAUL WALSH AS A DIRECTOR	Management	For	For
4.	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITOR OF THE COMPANY	Management	For	For
5.	TO AUTHORISE THE GROUP AUDIT COMMITTEE TO DETERMINE THE REMUNERATION OF THE AUDITOR	Management	For	For
6.	TO AUTHORISE THE COMPANY TO MAKE POLITICAL DONATIONS	Management	Abstain	Against
7.	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	Management	For	For
8.	TO DISAPPLY PRE-EMPTION RIGHTS (SPECIAL RESOLUTION)	Management	For	For

Investment Company Report

9.	TO FURTHER DISAPPLY PRE-EMPTION RIGHTS FOR ACQUISITIONS (SPECIAL RESOLUTION)	Management	For	For
10.	TO AUTHORISE THE DIRECTORS TO ALLOT ANY REPURCHASED SHARES	Management	For	For
11.	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN ORDINARY SHARES (SPECIAL RESOLUTION)	Management	For	For
12.	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES IN RELATION TO CONTINGENT CONVERTIBLE SECURITIES	Management	For	For
13.	TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE ISSUE OF CONTINGENT CONVERTIBLE SECURITIES (SPECIAL RESOLUTION)	Management	For	For
14.	TO APPROVE GENERAL MEETINGS (OTHER THAN ANNUAL GENERAL MEETINGS) BEING CALLED ON 14 CLEAR DAYS' NOTICE (SPECIAL RESOLUTION)	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	1,000	0	27-Mar-2017	21-Apr-2017

Investment Company Report

THE GOLDMAN SACHS GROUP, INC.

Security	38141G104	Meeting Type	Annual
Ticker Symbol	GS	Meeting Date	28-Apr-2017
ISIN	US38141G1040	Agenda	934542805 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LLOYD C. BLANKFEIN	Management	For	For
1B.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management	For	For
1C.	ELECTION OF DIRECTOR: MARK A. FLAHERTY	Management	For	For
1D.	ELECTION OF DIRECTOR: WILLIAM W. GEORGE	Management	For	For
1E.	ELECTION OF DIRECTOR: JAMES A. JOHNSON	Management	For	For
1F.	ELECTION OF DIRECTOR: ELLEN J. KULLMAN	Management	For	For
1G.	ELECTION OF DIRECTOR: LAKSHMI N. MITTAL	Management	For	For
1H.	ELECTION OF DIRECTOR: ADEBAYO O. OGUNLESI	Management	For	For
1I.	ELECTION OF DIRECTOR: PETER OPPENHEIMER	Management	For	For
1J.	ELECTION OF DIRECTOR: DAVID A. VINIAR	Management	For	For
1K.	ELECTION OF DIRECTOR: MARK O. WINKELMAN	Management	For	For
2.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION (SAY ON PAY)	Management	For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF SAY ON PAY	Management	1 Year	For
4.	RATIFICATION OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	PGB - CIBC	BNY MELLON	2,400	0	27-Mar-2017	01-May-2017

Investment Company Report

STANDARD CHARTERED PLC

Security	G84228157	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	03-May-2017
ISIN	GB0004082847	Agenda	707862101 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE COMPANY'S ANNUAL REPORT AND ACCOUNTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2016 TOGETHER WITH THE REPORTS OF THE DIRECTORS AND AUDITORS	Management	For	For
2	TO APPROVE THE ANNUAL REPORT ON REMUNERATION FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
3	TO ELECT JOSE VINALS AS CHAIRMAN	Management	For	For
4	TO RE-ELECT OM BHATT, A NON-EXECUTIVE DIRECTOR	Management	For	For
5	TO RE-ELECT DR KURT CAMPBELL, A NON-EXECUTIVE DIRECTOR	Management	For	For
6	TO RE-ELECT DR LOUIS CHEUNG, A NON-EXECUTIVE DIRECTOR	Management	For	For
7	TO RE-ELECT DAVID CONNER, A NON-EXECUTIVE DIRECTOR	Management	For	For
8	TO RE-ELECT DR BYRON GROTE, A NON-EXECUTIVE DIRECTOR	Management	For	For
9	TO RE-ELECT ANDY HALFORD, AN EXECUTIVE DIRECTOR	Management	For	For
10	TO RE-ELECT DR HAN SEUNG-SOO, KBE, A NON-EXECUTIVE DIRECTOR	Management	For	For
11	TO RE-ELECT CHRISTINE HODGSON, A NON-EXECUTIVE DIRECTOR	Management	For	For
12	TO RE-ELECT GAY HUEY EVANS, OBE, A NON-EXECUTIVE DIRECTOR	Management	For	For
13	TO RE-ELECT NAGUIB KHERAJ, A NON-EXECUTIVE DIRECTOR	Management	For	For
14	TO RE-ELECT JASMINE WHITBREAD, A NON-EXECUTIVE DIRECTOR	Management	For	For
15	TO RE-ELECT BILL WINTERS, AN EXECUTIVE DIRECTOR	Management	For	For
16	TO RE-APPOINT KPMG LLP AS AUDITOR TO THE COMPANY FROM THE END OF THE AGM UNTIL THE END OF NEXT YEAR'S AGM	Management	For	For
17	TO AUTHORISE THE BOARD TO SET THE AUDITOR'S FEES	Management	For	For
18	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS	Management	For	For

Investment Company Report

19	TO RENEW THE AUTHORISATION OF THE BOARD TO OFFER A SCRIP DIVIDEND TO SHAREHOLDERS	Management	For	For
20	TO AUTHORISE THE BOARD TO ALLOT SHARES	Management	For	For
21	TO EXTEND THE AUTHORITY TO ALLOT SHARES BY SUCH NUMBER OF SHARES REPURCHASED BY THE COMPANY UNDER THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 26	Management	For	For
22	TO AUTHORISE THE BOARD TO ALLOT SHARES AND GRANT RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO SHARES IN RELATION TO ANY ISSUE OF EQUITY CONVERTIBLE ADDITIONAL TIER 1 SECURITIES	Management	For	For
23	TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 20	Management	For	For
24	IN ADDITION TO RESOLUTION 23, TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 20 FOR THE PURPOSES OF ACQUISITIONS AND OTHER CAPITAL INVESTMENTS	Management	For	For
25	TO AUTHORISE THE BOARD TO DISAPPLY PRE-EMPTION RIGHTS IN RELATION TO THE AUTHORITY GRANTED PURSUANT TO RESOLUTION 22	Management	For	For
26	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN ORDINARY SHARES	Management	For	For
27	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN PREFERENCE SHARES	Management	For	For
28	THAT A GENERAL MEETING OTHER THAN AN ANNUAL GENERAL MEETING MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS' NOTICE	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	22,500	0	27-Mar-2017	26-Apr-2017

Investment Company Report

ING GROEP N.V.

Security	456837103	Meeting Type	Annual
Ticker Symbol	ING	Meeting Date	08-May-2017
ISIN	US4568371037	Agenda	934594359 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
2E.	ANNUAL ACCOUNTS FOR 2016	Management	For	For
3B.	DIVIDEND FOR 2016	Management	For	For
4A.	DISCHARGE OF THE MEMBERS OF THE EXECUTIVE BOARD IN RESPECT OF THEIR DUTIES PERFORMED DURING THE YEAR 2016	Management	For	For
4B.	DISCHARGE OF THE MEMBERS AND FORMER MEMBER OF THE SUPERVISORY BOARD IN RESPECT OF THEIR DUTIES PERFORMED DURING THE YEAR 2016	Management	For	For
5B.	VARIABLE REMUNERATION CAP FOR SELECTED GLOBAL STAFF	Management	For	For
6A.	REAPPOINTMENT OF RALPH HAMERS TO THE EXECUTIVE BOARD.	Management	For	For
6B.	APPOINTMENT OF STEVEN VAN RIJSWIJK TO THE EXECUTIVE BOARD.	Management	For	For
6C.	APPOINTMENT OF KOOS TIMMERMANS TO THE EXECUTIVE BOARD.	Management	For	For
7A.	REAPPOINTMENT OF HERMANN-JOSEF LAMBERTI TO THE SUPERVISORY BOARD.	Management	For	For
7B.	REAPPOINTMENT OF ROBERT REIBESTEIN TO THE SUPERVISORY BOARD.	Management	For	For
7C.	REAPPOINTMENT OF JEROEN VAN DER VEER TO THE SUPERVISORY BOARD.	Management	For	For
7D.	APPOINTMENT OF JAN PETER BALKENENDE TO THE SUPERVISORY BOARD.	Management	For	For
7E.	APPOINTMENT OF MARGARETE HAASE TO THE SUPERVISORY BOARD.	Management	For	For
7F.	APPOINTMENT OF HANS WIJERS TO THE SUPERVISORY BOARD.	Management	For	For
8A.	AUTHORIZATION TO ISSUE ORDINARY SHARES	Management	For	For
8B.	AUTHORIZATION TO ISSUE ORDINARY SHARES, WITH OR WITHOUT PRE-EMPTIVE RIGHTS OF EXISTING SHAREHOLDERS	Management	For	For
9.	AUTHORIZATION OF THE EXECUTIVE BOARD TO ACQUIRE ORDINARY SHARES IN THE COMPANY'S CAPITAL	Management	For	For

Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	12,000	0	18-Apr-2017	01-May-2017

Investment Company Report

BARCLAYS PLC

Security	06738E204	Meeting Type	Annual
Ticker Symbol	BCS	Meeting Date	10-May-2017
ISIN	US06738E2046	Agenda	934555965 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE REPORTS OF THE DIRECTORS AND AUDITORS AND THE AUDITED ACCOUNTS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016.	Management	For	For
2.	TO APPROVE THE DIRECTORS' REMUNERATION REPORT (OTHER THAN THE PART CONTAINING THE DIRECTORS' REMUNERATION POLICY) FOR THE YEAR ENDED 31 DECEMBER 2016.	Management	For	For
3.	TO APPROVE THE DIRECTORS' REMUNERATION POLICY CONTAINED IN THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2016.	Management	For	For
4.	TO APPOINT MARY FRANCIS AS A DIRECTOR OF THE COMPANY.	Management	For	For
5.	TO APPOINT SIR IAN CHESHIRE AS A DIRECTOR OF THE COMPANY.	Management	For	For
6.	TO REAPPOINT MIKE ASHLEY AS A DIRECTOR OF THE COMPANY.	Management	For	For
7.	TO REAPPOINT TIM BREEDON AS A DIRECTOR OF THE COMPANY.	Management	For	For
8.	TO REAPPOINT CRAWFORD GILLIES AS A DIRECTOR OF THE COMPANY.	Management	For	For
9.	TO REAPPOINT SIR GERRY GRIMSTONE AS A DIRECTOR OF THE COMPANY.	Management	For	For
10.	TO REAPPOINT REUBEN JEFFERY III AS A DIRECTOR OF THE COMPANY.	Management	For	For
11.	TO REAPPOINT JOHN MCFARLANE AS A DIRECTOR OF THE COMPANY.	Management	For	For
12.	TO REAPPOINT TUSHAR MORZARIA AS A DIRECTOR OF THE COMPANY.	Management	For	For
13.	TO REAPPOINT DAMBISA MOYO AS A DIRECTOR OF THE COMPANY.	Management	For	For
14.	TO REAPPOINT DIANE SCHUENEMAN AS A DIRECTOR OF THE COMPANY.	Management	For	For
15.	TO REAPPOINT JAMES STALEY AS A DIRECTOR OF THE COMPANY.	Management	For	For
16.	TO APPOINT KPMG LLP AS AUDITORS OF THE COMPANY.	Management	For	For
17.	TO AUTHORISE THE BOARD AUDIT COMMITTEE TO SET THE REMUNERATION OF THE AUDITORS.	Management	For	For

Investment Company Report

18.	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS AND INCUR POLITICAL EXPENDITURE.	Management	For	For
19.	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES AND EQUITY SECURITIES	Management	For	For
20.	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH AND/OR TO SELL TREASURY SHARES OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS OF NO MORE THAN 5% OF ISSUED SHARE CAPITAL.	Management	For	For
21.	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH AND/OR TO SELL TREASURY SHARES OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS OF NO MORE THAN AN ADDITIONAL 5% OF ISSUED SHARE CAPITAL IN CONNECTION WITH AN ACQUISITION OR SPECIFIED CAPITAL INVESTMENT.	Management	For	For
22.	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES IN RELATION TO THE ISSUANCE OF CONTINGENT EQUITY CONVERSION NOTES.	Management	For	For
23.	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS IN RELATION TO THE ISSUANCE OF CONTINGENT EQUITY CONVERSION NOTES.	Management	For	For
24.	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES.	Management	For	For
25.	TO AUTHORISE THE DIRECTORS TO CALL GENERAL MEETINGS (OTHER THAN AN AGM) ON NOT LESS THAN 14 CLEAR DAYS' NOTICE.	Management	For	For
26.	TO APPROVE THE AMENDMENT OF THE BARCLAYS LONG TERM INCENTIVE PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (RBC PGB)	RBC DOMINION SECURITIES INC	11,000	0	27-Mar-2017	08-May-2017
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	39,000	0	27-Mar-2017	08-May-2017

Investment Company Report

BARCLAYS PLC, LONDON

Security	G08036124	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	10-May-2017
ISIN	GB0031348658	Agenda	707865020 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE REPORTS OF THE DIRECTORS AND AUDITORS AND THE AUDITED ACCOUNTS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
2	TO APPROVE THE DIRECTORS REMUNERATION REPORT OTHER THAN THE PART CONTAINING THE DIRECTORS REMUNERATION POLICY FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
3	TO APPROVE THE DIRECTORS REMUNERATION POLICY CONTAINED IN THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
4	TO APPOINT MARY FRANCIS AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO APPOINT SIR IAN CHESHIRE AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO REAPPOINT MIKE ASHLEY AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO REAPPOINT TIM BREEDON AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO REAPPOINT CRAWFORD GILLIES AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO REAPPOINT SIR GERRY GRIMSTONE AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO REAPPOINT REUBEN JEFFERY III AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO REAPPOINT JOHN MCFARLANE AS A DIRECTOR OF THE COMPANY	Management	For	For
12	TO REAPPOINT TUSHAR MORZARIA AS A DIRECTOR OF THE COMPANY	Management	For	For
13	TO REAPPOINT DAMBISA MOYO AS A DIRECTOR OF THE COMPANY	Management	For	For
14	TO REAPPOINT DIANE SCHUENEMAN AS A DIRECTOR OF THE COMPANY	Management	For	For
15	TO REAPPOINT JAMES STALEY AS A DIRECTOR OF THE COMPANY	Management	For	For
16	TO APPOINT KPMG LLP AS AUDITORS OF THE COMPANY	Management	For	For
17	TO AUTHORISE THE BOARD AUDIT COMMITTEE TO SET THE REMUNERATION OF THE AUDITORS	Management	For	For

Investment Company Report

18	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS AND INCUR POLITICAL EXPENDITURE	Management	For	For
19	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES AND EQUITY SECURITIES	Management	For	For
20	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH AND OR TO SELL TREASURY SHARES OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS OF NO MORE THAN 5 PER CENT OF ISC	Management	For	For
21	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH AND OR TO SELL TREASURY SHARES OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS OF NO MORE THAN AN ADD 5 PER CENT	Management	For	For
22	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES IN RELATION TO THE ISSUANCE OF CONTINGENT EQUITY CONVERSION NOTES	Management	For	For
23	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS IN RELATION TO THE ISSUANCE OF CONTINGENT ECNS	Management	For	For
24	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	Management	For	For
25	TO AUTHORISE THE DIRECTORS TO CALL GENERAL MEETINGS OTHER THAN AN AGM ON NOT LESS THAN 14 CLEAR DAYS NOTICE	Management	For	For
26	TO APPROVE THE AMENDMENT OF THE BARCLAYS LONG TERM INCENTIVE PLAN	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	60,000	0	27-Mar-2017	04-May-2017

Investment Company Report

ROYAL BANK OF SCOTLAND GROUP PLC

Security	780097689	Meeting Type	Annual
Ticker Symbol	RBS	Meeting Date	11-May-2017
ISIN	US7800976893	Agenda	934594210 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE 2016 REPORT AND ACCOUNTS	Management	For	For
2.	TO APPROVE THE DIRECTORS' REMUNERATION POLICY IN THE DIRECTORS' REMUNERATION REPORT	Management	For	For
3.	TO APPROVE THE ANNUAL REPORT ON REMUNERATION IN THE DIRECTORS' REMUNERATION REPORT	Management	For	For
4.	TO RE-ELECT HOWARD DAVIES AS A DIRECTOR	Management	For	For
5.	TO RE-ELECT ROSS MCEWAN AS A DIRECTOR	Management	For	For
6.	TO RE-ELECT EWEN STEVENSON AS A DIRECTOR	Management	For	For
7.	TO RE-ELECT SANDY CROMBIE AS A DIRECTOR	Management	For	For
8.	TO ELECT FRANK DANGEARD AS A DIRECTOR	Management	For	For
9.	TO RE-ELECT ALISON DAVIS AS A DIRECTOR	Management	For	For
10.	TO RE-ELECT MORTEN FRIIS AS A DIRECTOR	Management	For	For
11.	TO RE-ELECT ROBERT GILLESPIE AS A DIRECTOR	Management	For	For
12.	TO RE-ELECT PENNY HUGHES AS A DIRECTOR	Management	For	For
13.	TO RE-ELECT BRENDAN NELSON AS A DIRECTOR	Management	For	For
14.	TO RE-ELECT BARONESS NOAKES AS A DIRECTOR	Management	For	For
15.	TO RE-ELECT MIKE ROGERS AS A DIRECTOR	Management	For	For
16.	TO ELECT MARK SELIGMAN AS A DIRECTOR	Management	For	For
17.	TO RE-APPOINT ERNST & YOUNG LLP AS AUDITORS OF THE COMPANY	Management	For	For
18.	TO AUTHORISE THE GROUP AUDIT COMMITTEE TO FIX THE REMUNERATION OF THE AUDITORS	Management	For	For
19.	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT SHARES IN THE COMPANY	Management	For	For
20.	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT EQUITY SECURITIES ON A NON PRE-EMPTIVE BASIS IN CONNECTION WITH AN OFFER OR ISSUE OF EQUITY SECURITIES	Management	For	For
21.	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT EQUITY SECURITIES ON A NON PRE-EMPTIVE BASIS IN CONNECTION WITH THE PURPOSES OF FINANCING A TRANSACTION OR CAPITAL INVESTMENT	Management	For	For

Investment Company Report

22.	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO ORDINARY SHARES IN RELATION TO EQUITY CONVERTIBLE NOTES	Management	For	For
23.	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT EQUITY SECURITIES ON A NON PRE-EMPTIVE BASIS IN CONNECTION WITH EQUITY CONVERTIBLE NOTES	Management	For	For
24.	TO RENEW THE AUTHORITY TO PERMIT THE HOLDING OF GENERAL MEETINGS OF THE COMPANY AT 14 CLEAR DAYS' NOTICE	Management	For	For
25.	TO RENEW THE AUTHORITY IN RESPECT OF POLITICAL DONATIONS AND EXPENDITURE BY THE COMPANY IN TERMS OF SECTION 366 OF THE COMPANIES ACT 2006	Management	For	For
26.	TO RENEW THE AUTHORITY FOR THE COMPANY TO PURCHASE ITS OWN SHARES ON A RECOGNISED INVESTMENT EXCHANGE	Management	For	For
27.	TO CANCEL THE SHARE PREMIUM ACCOUNT AND THE CAPITAL REDEMPTION RESERVE	Management	For	For
28.	TO RENEW THE COMPANY'S EXISTING SHARES/SAVE PLAN	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	46,500	0	18-Apr-2017	04-May-2017

Investment Company Report

JPMORGAN CHASE & CO.

Security	46625H100	Meeting Type	Annual
Ticker Symbol	JPM	Meeting Date	16-May-2017
ISIN	US46625H1005	Agenda	934561665 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LINDA B. BAMMANN	Management	For	For
1B.	ELECTION OF DIRECTOR: JAMES A. BELL	Management	For	For
1C.	ELECTION OF DIRECTOR: CRANDALL C. BOWLES	Management	For	For
1D.	ELECTION OF DIRECTOR: STEPHEN B. BURKE	Management	For	For
1E.	ELECTION OF DIRECTOR: TODD A. COMBS	Management	For	For
1F.	ELECTION OF DIRECTOR: JAMES S. CROWN	Management	For	For
1G.	ELECTION OF DIRECTOR: JAMES DIMON	Management	For	For
1H.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management	For	For
1I.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management	For	For
1K.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management	For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	1 Year	For
5.	INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
6.	VESTING FOR GOVERNMENT SERVICE	Shareholder	Against	For
7.	CLAWBACK AMENDMENT	Shareholder	Against	For
8.	GENDER PAY EQUITY	Shareholder	Against	For
9.	HOW VOTES ARE COUNTED	Shareholder	Against	For
10.	SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL BANKS FUND	475-80383-29 (RBC PGB)	RBC DOMINION SECURITIES INC	1,400	0	07-Apr-2017	17-May-2017
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	10,600	0	07-Apr-2017	17-May-2017

Investment Company Report

STATE STREET CORPORATION

Security	857477103	Meeting Type	Annual
Ticker Symbol	STT	Meeting Date	17-May-2017
ISIN	US8574771031	Agenda	934574193 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: K. BURNES	Management	For	For
1B.	ELECTION OF DIRECTOR: P. DE SAINT-AIGNAN	Management	For	For
1C.	ELECTION OF DIRECTOR: L. DUGLE	Management	For	For
1D.	ELECTION OF DIRECTOR: A. FAWCETT	Management	For	For
1E.	ELECTION OF DIRECTOR: W. FREDA	Management	For	For
1F.	ELECTION OF DIRECTOR: L. HILL	Management	For	For
1G.	ELECTION OF DIRECTOR: J. HOOLEY	Management	For	For
1H.	ELECTION OF DIRECTOR: S. O'SULLIVAN	Management	For	For
1I.	ELECTION OF DIRECTOR: R. SERGEL	Management	For	For
1J.	ELECTION OF DIRECTOR: G. SUMME	Management	For	For
2.	TO APPROVE AN ADVISORY PROPOSAL ON EXECUTIVE COMPENSATION.	Management	For	For
3.	TO RECOMMEND, BY ADVISORY PROPOSAL, THE FREQUENCY OF ADVISORY PROPOSALS ON EXECUTIVE COMPENSATION.	Management	1 Year	For
4.	TO APPROVE THE 2017 STOCK INCENTIVE PLAN.	Management	For	For
5.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS STATE STREET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,000	0	07-Apr-2017	18-May-2017

Investment Company Report

MORGAN STANLEY

Security	617446448	Meeting Type	Annual
Ticker Symbol	MS	Meeting Date	22-May-2017
ISIN	US6174464486	Agenda	934579458 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ERSKINE B. BOWLES	Management	For	For
1B.	ELECTION OF DIRECTOR: ALISTAIR DARLING	Management	For	For
1C.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1D.	ELECTION OF DIRECTOR: JAMES P. GORMAN	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT H. HERZ	Management	For	For
1F.	ELECTION OF DIRECTOR: NOBUYUKI HIRANO	Management	For	For
1G.	ELECTION OF DIRECTOR: KLAUS KLEINFELD	Management	For	For
1H.	ELECTION OF DIRECTOR: JAMI MISCIK	Management	For	For
1I.	ELECTION OF DIRECTOR: DENNIS M. NALLY	Management	For	For
1J.	ELECTION OF DIRECTOR: HUTHAM S. OLAYAN	Management	For	For
1K.	ELECTION OF DIRECTOR: JAMES W. OWENS	Management	For	For
1L.	ELECTION OF DIRECTOR: RYOSUKE TAMAKOSHI	Management	For	For
1M.	ELECTION OF DIRECTOR: PERRY M. TRAQUINA	Management	For	For
1N.	ELECTION OF DIRECTOR: RAYFORD WILKINS, JR.	Management	For	For
2.	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITOR	Management	For	For
3.	TO APPROVE THE COMPENSATION OF EXECUTIVES AS DISCLOSED IN THE PROXY STATEMENT (NON-BINDING ADVISORY VOTE)	Management	For	For
4.	TO VOTE ON THE FREQUENCY OF HOLDING A NONBINDING ADVISORY VOTE ON THE COMPENSATION OF EXECUTIVES AS DISCLOSED IN THE PROXY STATEMENT (NON-BINDING ADVISORY VOTE)	Management	1 Year	For
5.	TO APPROVE THE AMENDED AND RESTATED EQUITY INCENTIVE COMPENSATION PLAN TO INCREASE THE NUMBER OF AUTHORIZED SHARES AND TO EXTEND THE TERM	Management	For	For
6.	TO APPROVE THE AMENDED AND RESTATED DIRECTORS' EQUITY CAPITAL ACCUMULATION PLAN TO INCREASE THE NUMBER OF AUTHORIZED SHARES	Management	For	For
7.	SHAREHOLDER PROPOSAL REGARDING A CHANGE IN THE TREATMENT OF ABSTENTIONS FOR PURPOSES OF VOTE-COUNTING	Shareholder	Against	For

Investment Company Report

8. SHAREHOLDER PROPOSAL REGARDING A POLICY Shareholder Against For
 TO PROHIBIT VESTING OF DEFERRED EQUITY
 AWARDS FOR SENIOR EXECUTIVES WHO RESIGN
 TO ENTER GOVERNMENT SERVICE

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	2,800	0	10-Apr-2017	23-May-2017

Investment Company Report

BNP PARIBAS

Security	05565A202	Meeting Type	Annual
Ticker Symbol	BNPQY	Meeting Date	23-May-2017
ISIN	US05565A2024	Agenda	934610850 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVAL OF THE PARENT COMPANY FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	Management	For	For
2.	APPROVAL OF THE 2016 CONSOLIDATED FINANCIAL STATEMENTS	Management	For	For
3.	APPROPRIATION OF NET INCOME FOR THE YEAR ENDED 31 DECEMBER 2016 AND DIVIDEND DISTRIBUTION	Management	For	For
4.	SPECIAL REPORT OF THE STATUTORY AUDITORS ON RELATED PARTY AGREEMENTS AND COMMITMENTS FALLING WITHIN THE SCOPE OF ARTICLES L.225-38 ET SEQ. OF THE FRENCH COMMERCIAL CODE	Management	For	For
5.	AUTHORISATION FOR BNP PARIBAS TO BUY BACK ITS OWN SHARES	Management	For	For
6.	RE-ELECTION OF JEAN LEMIERRE AS DIRECTOR	Management	For	For
7.	RE-ELECTION OF MONIQUE COHEN AS DIRECTOR	Management	For	For
8.	RE-ELECTION OF DANIELA SCHWARZER AS DIRECTOR	Management	For	For
9.	RE-ELECTION OF FIELDS WICKER-MIURIN AS DIRECTOR	Management	For	For
10.	APPOINTMENT OF JACQUES ASCHENBROICH AS DIRECTOR	Management	For	For
11.	VOTE ON THE COMPONENTS OF THE REMUNERATION POLICY ATTRIBUTABLE TO THE CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
12.	VOTE ON COMPONENTS OF THE REMUNERATION POLICY ATTRIBUTABLE TO THE CHIEF EXECUTIVE OFFICER AND CHIEF OPERATING OFFICER	Management	For	For
13.	ADVISORY VOTE ON THE COMPENSATION ELEMENTS DUE OR AWARDED FOR THE 2016 FINANCIAL YEAR TO JEAN LEMIERRE, CHAIRMAN OF THE BOARD OF DIRECTORS - RECOMMENDATION OF PARAGRAPH 26.2 OF THE AFEP-MEDEF CODE	Management	For	For
14.	ADVISORY VOTE ON THE COMPENSATION ELEMENTS DUE OR AWARDED FOR THE 2016 FINANCIAL YEAR TO JEAN-LAURENT BONNAFE, CHIEF EXECUTIVE OFFICER - RECOMMENDATION OF PARAGRAPH 26.2 OF THE AFEP-MEDEF CODE	Management	For	For

Investment Company Report

15.	ADVISORY VOTE ON THE COMPENSATION ELEMENTS DUE OR AWARDED FOR THE 2016 FINANCIAL YEAR TO PHILIPPE BORDENAVE, CHIEF OPERATING OFFICER - RECOMMENDATION OF PARAGRAPH 26.2 OF THE AFEP-MEDEF CODE	Management	For	For
16.	ADVISORY VOTE ON THE OVERALL COMPENSATION PACKAGE IN THE 2016 FINANCIAL YEAR TO EFFECTIVE SENIOR MANAGERS AND CERTAIN CATEGORIES OF PERSONNEL - ARTICLE L.511-73 OF THE FRENCH MONETARY AND FINANCIAL CODE	Management	For	For
17.	AUTHORISATION GRANTED TO THE BOARD OF DIRECTORS TO REDUCE THE SHARE CAPITAL BY CANCELLING SHARES	Management	For	For
18.	AUTHORITY TO COMPLETE LEGAL FORMALITIES	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	PGB - CIBC	BNY MELLON	4,000	0	28-Apr-2017	19-May-2017

Investment Company Report

BNP PARIBAS SA

Security	F1058Q238	Meeting Type	MIX
Ticker Symbol		Meeting Date	23-May-2017
ISIN	FR0000131104	Agenda	707813083 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE IN THE FRENCH MARKET THAT THE ONLY VALID VOTE OPTIONS ARE "FOR"-AND "AGAINST" A VOTE OF "ABSTAIN" WILL BE TREATED AS AN "AGAINST" VOTE.	Non-Voting		
CMMT	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A-FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE-GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED-INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD-THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT-YOUR CLIENT REPRESENTATIVE	Non-Voting		
CMMT	IN CASE AMENDMENTS OR NEW RESOLUTIONS ARE PRESENTED DURING THE MEETING, YOUR-VOTE WILL DEFAULT TO 'ABSTAIN'. SHARES CAN ALTERNATIVELY BE PASSED TO THE-CHAIRMAN OR A NAMED THIRD PARTY TO VOTE ON ANY SUCH ITEM RAISED. SHOULD YOU-WISH TO PASS CONTROL OF YOUR SHARES IN THIS WAY, PLEASE CONTACT YOUR-BROADRIDGE CLIENT SERVICE REPRESENTATIVE. THANK YOU.	Non-Voting		
CMMT	15 MAR 2017: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS-AVAILABLE BY CLICKING ON THE MATERIAL URL LINK:- https://balo.journal-officiel.gouv.fr/pdf/2017/0306/201703061700430.pdf , https://balo.journal-officiel.gouv.fr/pdf/2017/0315/201703151700550.pdf ,- PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF URL LINK. IF YOU HAVE-ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO-AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		
O.1	APPROVAL OF THE CORPORATE FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	Management	For	For
O.2	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	Management	For	For
O.3	ALLOCATION OF INCOME FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2016 AND PAYMENT OF DIVIDEND: EUR 2.70 PER SHARE	Management	For	For

Investment Company Report

O.4	APPROVAL OF THE STATUTORY AUDITORS' SPECIAL REPORT ON THE AGREEMENTS AND COMMITMENTS GOVERNED BY ARTICLES L.225-38 AND FOLLOWING THE FRENCH COMMERCIAL CODE	Management	For	For
O.5	AUTHORISATION FOR THE COMPANY TO PURCHASE ITS OWN SHARES	Management	For	For
O.6	RENEWAL OF THE TERM OF MR JEAN LEMIERRE AS A DIRECTOR	Management	For	For
O.7	RENEWAL OF THE TERM OF MS MONIQUE COHEN AS A DIRECTOR	Management	For	For
O.8	RENEWAL OF THE TERM OF MS DANIELA SCHWARZER AS A DIRECTOR	Management	For	For
O.9	RENEWAL OF THE TERM OF MS FIELDS WICKER-MIURIN AS A DIRECTOR	Management	For	For
O.10	APPOINTMENT OF MR JACQUES ASCHENBROICH AS A DIRECTOR TO REPLACE MR JEAN-FRANCOIS LEPETIT	Management	For	For
O.11	APPROVAL OF THE ELEMENTS OF THE COMPENSATION POLICY THAT ARE APPLICABLE TO THE CHAIRMAN OF THE BOARD OF DIRECTORS	Management	For	For
O.12	VOTE ON THE ELEMENTS OF THE COMPENSATION POLICY APPLICABLE TO THE MANAGING DIRECTOR AND TO THE DEPUTY GENERAL MANAGER	Management	For	For
O.13	ADVISORY VOTE ON THE COMPENSATION OWED OR PAID TO MR JEAN LEMIERRE, CHAIRMAN OF THE BOARD OF DIRECTORS, FOR THE 2016 FINANCIAL YEAR - RECOMMENDATION OF SECTION 26.2 OF THE FRENCH AFEP-MEDEF CODE	Management	For	For
O.14	ADVISORY VOTE ON THE COMPENSATION OWED OR PAID TO MR JEAN-LAURENT BONNAFE, MANAGING DIRECTOR, FOR THE 2016 FINANCIAL YEAR - RECOMMENDATION OF SECTION 26.2 OF THE FRENCH AFEP-MEDEF CODE	Management	For	For
O.15	ADVISORY VOTE ON THE COMPENSATION OWED OR PAID TO MR PHILIPPE BORDENAVE, DEPUTY GENERAL MANAGER, FOR THE 2016 FINANCIAL YEAR - RECOMMENDATION OF SECTION 26.2 OF THE FRENCH AFEP-MEDEF CODE	Management	For	For
O.16	ADVISORY VOTE ON THE TOTAL COMPENSATION OF ALL KINDS PAID DURING THE 2016 FINANCIAL YEAR TO THE EFFECTIVE DIRECTORS AND CERTAIN CATEGORIES OF EMPLOYEES - ARTICLE L.511-73 OF THE FRENCH MONETARY AND FINANCIAL CODE	Management	For	For
E.17	AUTHORISATION TO BE GRANTED TO THE BOARD OF DIRECTORS TO REDUCE THE SHARE CAPITAL BY CANCELLING SHARES	Management	For	For
E.18	POWERS TO CARRY OUT ALL LEGAL FORMALITIES	Management	For	For

Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	PGB - CIBC	BNY MELLON	600	0	09-Mar-2017	16-May-2017

Investment Company Report

SUMITOMO MITSUI FINANCIAL GROUP, INC.

Security	86562M209	Meeting Type	Annual
Ticker Symbol	SMFG	Meeting Date	29-Jun-2017
ISIN	US86562M2098	Agenda	934649522 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROPRIATION OF SURPLUS.	Management		
2.	PARTIAL AMENDMENTS TO THE ARTICLES OF INCORPORATION.	Management		
3A.	ELECTION OF DIRECTOR: KOICHI MIYATA	Management		
3B.	ELECTION OF DIRECTOR: TAKESHI KUNIBE	Management		
3C.	ELECTION OF DIRECTOR: MAKOTO TAKASHIMA	Management		
3D.	ELECTION OF DIRECTOR: KOZO OGINO	Management		
3E.	ELECTION OF DIRECTOR: JUN OHTA	Management		
3F.	ELECTION OF DIRECTOR: KATSUNORI TANIZAKI	Management		
3G.	ELECTION OF DIRECTOR: TOSHIKAZU YAKU	Management		
3H.	ELECTION OF DIRECTOR: TOSHIYUKI TERAMOTO	Management		
3I.	ELECTION OF DIRECTOR: TORU MIKAMI	Management		
3J.	ELECTION OF DIRECTOR: TETSUYA KUBO	Management		
3K.	ELECTION OF DIRECTOR: MASAYUKI MATSUMOTO	Management		
3L.	ELECTION OF DIRECTOR: ARTHUR M. MITCHELL	Management		
3M.	ELECTION OF DIRECTOR: SHOZO YAMAZAKI	Management		
3N.	ELECTION OF DIRECTOR: MASAHARU KOHNO	Management		
3O.	ELECTION OF DIRECTOR: YOSHINOBU TSUTSUI	Management		
3P.	ELECTION OF DIRECTOR: KATSUYOSHI SHINBO	Management		
3Q.	ELECTION OF DIRECTOR: ERIKO SAKURAI	Management		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	PGB - CIBC	BNY MELLON	5,000	0		