

Investment Company Report

THE TORONTO-DOMINION BANK

Security	891160509	Meeting Type	Annual
Ticker Symbol	TD	Meeting Date	01-Apr-2021
ISIN	CA8911605092	Agenda	935338168 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
A	DIRECTOR	Management		
	1 Amy W. Brinkley		For	For
	2 Brian C. Ferguson		For	For
	3 Colleen A. Goggins		For	For
	4 Jean-René Halde		For	For
	5 David E. Kepler		For	For
	6 Brian M. Levitt		For	For
	7 Alan N. MacGibbon		For	For
	8 Karen E. Maidment		For	For
	9 Bharat B. Masrani		For	For
	10 Irene R. Miller		For	For
	11 Nadir H. Mohamed		For	For
	12 Claude Mongeau		For	For
	13 Joe Natale		For	For
	14 S. Jane Rowe		For	For
B	Appointment of auditor named in the management proxy circular	Management	For	For
C	Approach to executive compensation disclosed in the report of the Human Resources Committee and approach to executive compensation sections of the management proxy circular *Advisory Vote*	Management	For	For
D	Shareholder Proposal 1	Shareholder	Against	For
E	Shareholder Proposal 2	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	35,200	0	12-Mar-2021	12-Mar-2021

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BANK OF MONTREAL

Security	063671101	Meeting Type	Annual
Ticker Symbol	BMO	Meeting Date	07-Apr-2021
ISIN	CA0636711016	Agenda	935340466 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 Janice M. Babiak		For	For
	2 Sophie Brochu		For	For
	3 Craig W. Broderick		For	For
	4 George A. Cope		For	For
	5 Stephen Dent		For	For
	6 Christine A. Edwards		For	For
	7 Martin S. Eichenbaum		For	For
	8 David E. Harquail		For	For
	9 Linda S. Huber		For	For
	10 Eric R. La Flèche		For	For
	11 Lorraine Mitchelmore		For	For
	12 Madhu Ranganathan		For	For
	13 Darryl White		For	For
02	Appointment of Shareholders' Auditors	Management	For	For
03	Advisory vote on the Bank's Approach to Executive Compensation	Management	For	For
04	Shareholder Proposal No. 1 The text of the shareholder proposal is contained in the Management Proxy Circular starting on page 88.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	19,710	0	16-Mar-2021	16-Mar-2021

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CANADIAN IMPERIAL BANK OF COMMERCE

Security	136069101	Meeting Type	Annual
Ticker Symbol	CM	Meeting Date	08-Apr-2021
ISIN	CA1360691010	Agenda	935340959 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	DIRECTOR	Management		
	1 Charles J.G. Brindamour		For	For
	2 Nanci E. Caldwell		For	For
	3 Michelle L. Collins		For	For
	4 Patrick D. Daniel		For	For
	5 Luc Desjardins		For	For
	6 Victor G. Dodig		For	For
	7 Kevin J. Kelly		For	For
	8 Christine E. Larsen		For	For
	9 Nicholas D. Le Pan		For	For
	10 Mary Lou Maher		For	For
	11 Jane L. Peverett		For	For
	12 Katharine B. Stevenson		For	For
	13 Martine Turcotte		For	For
	14 Barry L. Zubrow		For	For
2	Appointment of Ernst & Young LLP as auditors	Management	For	For
3	Advisory resolution on our executive compensation approach	Management	For	For
4	Shareholder Proposal 1	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	23,900	0	12-Mar-2021	12-Mar-2021

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THE BANK OF NEW YORK MELLON CORPORATION

Security	064058100	Meeting Type	Annual
Ticker Symbol	BK	Meeting Date	13-Apr-2021
ISIN	US0640581007	Agenda	935338132 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Linda Z. Cook	Management	For	For
1B.	Election of Director: Joseph J. Echevarria	Management	For	For
1C.	Election of Director: Thomas P. "Todd" Gibbons	Management	For	For
1D.	Election of Director: M. Amy Gilliland	Management	For	For
1E.	Election of Director: Jeffrey A. Goldstein	Management	For	For
1F.	Election of Director: K. Guru Gowrappan	Management	For	For
1G.	Election of Director: Ralph Izzo	Management	For	For
1H.	Election of Director: Edmund F. "Ted" Kelly	Management	For	For
1I.	Election of Director: Elizabeth E. Robinson	Management	For	For
1J.	Election of Director: Samuel C. Scott III	Management	For	For
1K.	Election of Director: Frederick O. Terrell	Management	For	For
1L.	Election of Director: Alfred W. "Al" Zollar	Management	For	For
2.	Advisory resolution to approve the 2020 compensation of our named executive officers.	Management	For	For
3.	Ratification of KPMG LLP as our independent auditor for 2021.	Management	For	For
4.	Stockholder proposal regarding stockholder requests for a record date to initiate written consent.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	BNY MELLON	16,000	0	12-Mar-2021	12-Mar-2021

Investment Company Report

THE BANK OF NOVA SCOTIA

Security	064149107	Meeting Type	Annual
Ticker Symbol	BNS	Meeting Date	13-Apr-2021
ISIN	CA0641491075	Agenda	935342460 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 Nora A. Aufreiter		For	For
	2 Guillermo E. Babatz		For	For
	3 Scott B. Bonham		For	For
	4 Lynn K. Patterson		For	For
	5 Michael D. Penner		For	For
	6 Brian J. Porter		For	For
	7 Una M. Power		For	For
	8 Aaron W. Regent		For	For
	9 Calin Rovinescu		For	For
	10 Susan L. Segal		For	For
	11 L. Scott Thomson		For	For
	12 Benita M. Warmbold		For	For
02	Appointment of KPMG LLP as auditors.	Management	For	For
03	Advisory vote on non-binding resolution on executive compensation approach.	Management	For	For
04	Shareholder Proposal 1	Shareholder	Against	For
05	Shareholder Proposal 2	Shareholder	Against	For
06	Shareholder Proposal 3	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	34,700	0	16-Mar-2021	16-Mar-2021

Investment Company Report

CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	27-Apr-2021
ISIN	US1729674242	Agenda	935349515 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Ellen M. Costello	Management	For	For
1B.	Election of Director: Grace E. Dailey	Management	For	For
1C.	Election of Director: Barbara J. Desoer	Management	For	For
1D.	Election of Director: John C. Dugan	Management	For	For
1E.	Election of Director: Jane N. Fraser	Management	For	For
1F.	Election of Director: Duncan P. Hennes	Management	For	For
1G.	Election of Director: Peter B. Henry	Management	For	For
1H.	Election of Director: S. Leslie Ireland	Management	For	For
1I.	Election of Director: Lew W. (Jay) Jacobs, IV	Management	For	For
1J.	Election of Director: Renée J. James	Management	For	For
1K.	Election of Director: Gary M. Reiner	Management	For	For
1L.	Election of Director: Diana L. Taylor	Management	For	For
1M.	Election of Director: James S. Turley	Management	For	For
1N.	Election of Director: Deborah C. Wright	Management	For	For
1O.	Election of Director: Alexander R. Wynaendts	Management	For	For
1P.	Election of Director: Ernesto Zedillo Ponce de Leon	Management	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2021.	Management	For	For
3.	Advisory vote to approve Citi's 2020 Executive Compensation.	Management	For	For
4.	Approval of additional authorized shares under the Citigroup 2019 Stock Incentive Plan.	Management	For	For
5.	Stockholder proposal requesting an amendment to Citi's proxy access by-law provisions pertaining to the aggregation limit.	Shareholder	Against	For
6.	Stockholder proposal requesting an Independent Board Chairman.	Shareholder	Against	For
7.	Stockholder proposal requesting non-management employees on director nominee candidate lists.	Shareholder	Against	For
8.	Stockholder proposal requesting a report disclosing information regarding Citi's lobbying payments, policies and activities.	Shareholder	Against	For
9.	Stockholder proposal requesting a racial equity audit analyzing Citi's adverse impacts on nonwhite stakeholders and communities of color.	Shareholder	Against	For

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10. Stockholder proposal requesting that the Board approve an amendment to Citi's Certificate of Incorporation to become a Public Benefit Corporation and to submit the proposed amendment to stockholders for approval.
- Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	BNY MELLON	34,600	0	31-Mar-2021	31-Mar-2021

Investment Company Report

TC ENERGY CORPORATION

Security	87807B107	Meeting Type	Annual
Ticker Symbol	TRP	Meeting Date	07-May-2021
ISIN	CA87807B1076	Agenda	935366066 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 Stéphan Crétier		For	For
	2 Michael R. Culbert		For	For
	3 Susan C. Jones		For	For
	4 Randy Limbacher		For	For
	5 John E. Lowe		For	For
	6 David MacNaughton		For	For
	7 François L. Poirier		For	For
	8 Una Power		For	For
	9 Mary Pat Salomone		For	For
	10 Indira V. Samarasekera		For	For
	11 D. Michael G. Stewart		For	For
	12 Siim A. Vanaselja		For	For
	13 Thierry Vandal		For	For
02	Resolution to appoint KPMG LLP, Chartered Professional Accountants as auditors and authorize the directors to fix their remuneration.	Management	For	For
03	Resolution to accept TC Energy's approach to executive compensation, as described in the Management information circular.	Management	For	For
4	Resolution to approve amendments to TC Energy's By-law Number 1, as described in the Management information circular.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	66,000	0	09-Apr-2021	09-Apr-2021

Investment Company Report

LUMEN TECHNOLOGIES, INC.

Security	550241103	Meeting Type	Annual
Ticker Symbol	LUMN	Meeting Date	19-May-2021
ISIN	US5502411037	Agenda	935382832 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Quincy L. Allen	Management	For	For
1B.	Election of Director: Martha Helena Bejar	Management	For	For
1C.	Election of Director: Peter C. Brown	Management	For	For
1D.	Election of Director: Kevin P. Chilton	Management	For	For
1E.	Election of Director: Steven T. "Terry" Clontz	Management	For	For
1F.	Election of Director: T. Michael Glenn	Management	For	For
1G.	Election of Director: W. Bruce Hanks	Management	For	For
1H.	Election of Director: Hal Stanley Jones	Management	For	For
1I.	Election of Director: Michael Roberts	Management	For	For
1J.	Election of Director: Laurie Siegel	Management	For	For
1K.	Election of Director: Jeffrey K. Storey	Management	For	For
2.	Ratify the appointment of KPMG LLP as our independent auditor for 2021.	Management	For	For
3.	Ratify the amendment to our Amended and Restated NOL Rights Plan.	Management	For	For
4.	Advisory vote to approve our executive compensation.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	BNY MELLON	95,967	0	09-Apr-2021	09-Apr-2021

Investment Company Report

SHAW COMMUNICATIONS INC.

Security	82028K200	Meeting Type	Special
Ticker Symbol	SJR	Meeting Date	20-May-2021
ISIN	CA82028K2002	Agenda	935403648 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
01	A special resolution, the full text of which is set forth in Appendix A to the accompanying management information circular dated April 14, 2021 (the "Circular"), to approve the proposed arrangement between Shaw Communications Inc. ("Shaw"), Rogers Communications Inc. (the "Purchaser") and the holders of Class A Participating Shares and Class B Non-Voting Participating Shares of Shaw pursuant to a plan of arrangement under section 193 of the Business Corporations Act (Alberta), whereby the Purchaser will, among other things, acquire all of the issued and outstanding Class A Participating Shares and Class B Non-Voting Participating Shares in the capital of Shaw, as more particularly described in the Circular.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0300002C	B01PI3F0300002 C	PCB - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	109,500	0	28-Apr-2021	28-Apr-2021